CIRCULAR BINARY STRINGS WITHOUT ZIGZAGS

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Abstract

We study several enumerative properties of the set of all circular binary strings without zigzags and of the set of all (0, 1)-necklaces without zigzags, where a zigzag is a 1 followed and preceded by a 0 or a 0 followed and preceded by a 1.

1. Introduction

A circular binary string is a function defined on a cycle with values in $\{0, 1\}$. As usual we write a circular binary string as a linear string with the convention that the first and the last letter are adjacent. A (0, 1)-necklace is a circular binary string defined up to cyclic shifts. Hence a (0, 1)-necklace is an equivalence class of circular binary strings. However, for simplicity, we write circular binary strings and necklaces in the same way. For instance 001, 010 and 100 are different circular binary strings but represent the same necklace.

We say that a circular binary string has a *zigzag* when a 1 is followed and preceded by a 0 or, dually, when a 0 is followed and preceded by a 1. Recall that a linear string σ is a substring of a circular string α when there exist two linear strings α_1 and α_2 such that $\alpha = \alpha_1 \sigma \alpha_2$ or when $\sigma = \sigma_1 \sigma_2$ and there exists a linear string β such that $\alpha = \sigma_2 \beta \sigma_1$. For instance the substrings of length 3 of the circular string $\alpha = 1011$ are 101, 011, 111 and 110.

For linear strings a zigzag is equivalent to a substring equal to 010 or 101. This is still true for circular binary strings of length $n \neq 2$, but when n = 2 also the circular strings 10 and 01 have a zigzag.

The aim of this paper is to obtain enumerative properties for the circular binary strings without zigzags and for the (0, 1)-necklaces without zigzags. Specifically we obtain the recurrences, the generating series and several explicit formulas for the numbers $z_{m,n}$ of all circular binary strings without zigzags with m 1's and n 0's and in particular for the numbers $z_n = z_{n,n}$ of central strings. In particular for the numbers z_n we also give a first-order asymptotic formula.

Then we consider the infinite matrix $Z = [z_{i,j}]_{i,j\geq 0}$ and we prove that it can be decomposed as LTL^t where L is a lower triangular matrix and T is a tridiagonal matrix. Both such matrices have non-negative integer entries. Moreover we show that the lower triangular matrix $R = [r_{i,j}]_{i,j\geq 0}$, where $r_{i,j} = z_{i,i-j}$ for $i \geq j$, $i \neq 0$, $r_{0,0} = 1$ and $r_{i,j} = 0$ otherwise, is a Riordan matrix [13].

Finally we consider the cyclic species of all circular binary strings without zigzags and we prove that it can be decomposed as a suitable composition of species. Such a decomposition passes to types allowing to obtain an explicit formula for the numbers $\tilde{z}_{m,n}$ of all (0, 1)-necklaces without zigzags with m 1's and n 0's.

In [11] we studied the same problem for linear binary strings. Both the problems, for linear and circular strings, were posed by Jie Wu in the particular case in which the number of 1's is equal to the number of 0's. See [3] for an algorithmic approach to this particular case for linear strings.

2. Explicit formulas and generating functions

Let \mathcal{Z} be the set of all circular binary strings without zigzags. Then let $\mathcal{Z}_{m,n}$ be the set of all strings in \mathcal{Z} with m 1's and n 0's and let $z_{m,n} = |\mathcal{Z}_{m,n}|$. The conjugate string $\overline{\alpha}$ of a binary string α is the string obtained by interchanging 0 and 1 in α . For instance, if $\alpha = 100110$ then $\overline{\alpha} = 011001$. Clearly conjugation establishes a bijection between $\mathcal{Z}_{m,n}$ and $\mathcal{Z}_{n,m}$ which implies the symmetry $z_{m,n} = z_{n,m}$.

First formula. Here we give a canonical decomposition for the strings in $\mathbb{Z}_{m,n}$ similar to the one used in [11] for linear strings. Let α be any string in $\mathbb{Z}_{m,n}$. Since α has no zigzags, each maximal block of 1's and 0's has length at least two, except possibly for the first and the last maximal block. This implies that α can be uniquely decomposed as a product of strings of the form 01, 10, $0 \cdots 0$, $1 \cdots 1$ in one of the following way

$$(1 \cdots 1)(10)(0 \cdots 0)(01)(1 \cdots 1) \cdots$$

 $(0 \cdots 0)(01)(1 \cdots 1)(10)(0 \cdots 0) \cdots$

where any block 01 is followed by a block $1 \cdots 1$ and is preceded by a block $0 \cdots 0$, and dually any block 10 is followed by a block $0 \cdots 0$ and is preceded

by a block $1 \cdots 1$. For instance, the string $\alpha = 000011110001100$ decomposes as $\alpha = (000)(01)(11)(10)(0)(01)(10)(0)$. We say that 01 and 10 are the *principal blocks*. The non principal blocks can also be empty.

To enumerate all the stings in $\mathcal{Z}_{m,n}$ we have to consider two cases, according to the parity of the number of principal blocks. Suppose first there are 2k (with $k \geq 1$) principal blocks. Then to obtain all the strings α of the form

$$0\cdots 0 01 1\cdots 1 10 0\cdots 0 \cdots 01 1\cdots 1 10 0\cdots 0$$

we fix the principal blocks and then we distribute m - 2k 1's in k places and n - 2k 0's in k + 1 places. Hence the total number of the strings of this form is

$$\binom{k}{m-2k}\binom{k+1}{n-2k}.$$
(1)

Similarly, the total number of the strings α of the form

$$1 \cdots 1 \ 10 \ 0 \cdots 0 \ 01 \ 1 \cdots 1 \ \cdots \ 10 \ 0 \cdots 0 \ 01 \ 1 \cdots 1$$

turns out to be

$$\binom{k+1}{m-2k}\binom{k}{n-2k}.$$
(2)

Suppose now that α contains 2k+1 principal blocks. Then α has one of the following forms:

In both cases we have m - 2k - 2 1's to distribute in k + 1 places and n - 2k - 2 0's to distribute in k + 1 places. Then the total number of the strings of this second case is equal to

$$2\left(\!\left(\begin{array}{c}k+1\\m-2k-2\end{array}\right)\!\right)\left(\!\left(\begin{array}{c}k+1\\n-2k-2\end{array}\right)\!\right).\tag{3}$$

All this implies the identity

$$z_{m,n} = \sum_{k\geq 1} \binom{k}{m-2k} \binom{k+1}{n-2k} + \sum_{k\geq 1} \binom{k+1}{m-2k} \binom{k}{m-2k} + 2\sum_{k\geq 0} \binom{k+1}{m-2k-2} \binom{k+1}{n-2k-2}.$$
(4)

Generating series. To obtain the generating series for the numbers $z_{m,n}$ we use the Schützenberger symbolic method [12, 4]. The above analysis also implies that the set \mathcal{Z} , considered as a language, is given by

$$Z = 0^{+} + 1^{+} + \sum_{k \ge 1} \underbrace{0^{*}(01)1^{*}(10)\cdots(01)1^{*}(10)0^{*}}_{2k \text{ principal blocks}} + \sum_{k \ge 1} \underbrace{1^{*}(10)0^{*}(01)\cdots(10)0^{*}(01)1^{*}}_{2k \text{ principal blocks}} + \sum_{k \ge 0} \underbrace{0^{*}(0)(01)1^{*}(10)\cdots(10)0^{*}(01)(1)1^{*}}_{2k+1 \text{ principal blocks}} + \sum_{k \ge 0} \underbrace{1^{*}(1)(10)0^{*}(01)\cdots(01)1^{*}(10)(0)0^{*}}_{2k+1 \text{ principal blocks}}$$

where $0^* = \{\varepsilon, 0, 00, 000, \ldots\}$, $0^+ = 0^* \setminus \{\varepsilon\}$, etc. Substituting 1 and 0 with the indeterminates x and y respectively, the above identity yields the following geometric series

$$Z(x,y) = \sum_{m,n\geq 0} z_{m,n} x^m y^n$$

= $\frac{x}{1-x} + \frac{y}{1-y} + \sum_{k\geq 1} \frac{x^{2k}}{(1-x)^k} \frac{y^{2k}}{(1-y)^{k+1}} + \sum_{k\geq 1} \frac{x^{2k}}{(1-x)^{k+1}} \frac{y^{2k}}{(1-y)^k} + 2\sum_{k\geq 0} \frac{x^{2k+2}}{(1-x)^{k+1}} \frac{y^{2k+2}}{(1-y)^{k+1}}$

which turns out to be equal to

$$Z(x,y) = \frac{x+y-2xy+4x^2y^2}{1-x-y+xy-x^2y^2}.$$
(5)

The form of this series immediately implies the recurrence

$$z_{m+2,n+2} = z_{m+1,n+2} + z_{m+2,n+1} - z_{m+1,n+1} + z_{m,n} + 4\delta_{m,0}\delta_{n,0}$$
(6)

where $\delta_{n,k}$ is the usual Kronecker delta.

Second formula. The formal series (5) yields another expression for the coefficients $z_{m,n}$. First notice that it can be rewritten as

$$Z(x,y) = -1 + \frac{1 + xy + x^2y^2}{1 - x - y + xy - x^2y^2} - 2\frac{xy - x^2y^2}{1 - x - y + xy - x^2y^2}.$$

Since we have

$$\begin{aligned} \frac{1+xy+x^2y^2}{1-x-y+xy-x^2y^2} &= \frac{1}{(1-x)(1-y)} \frac{1+xy+x^2y^2}{1-\frac{x^2}{1-x}\frac{y^2}{1-y}} = \\ &= \sum_{k\geq 0} \frac{x^{k-\lfloor k/3 \rfloor}}{(1-x)^{\lfloor k/3 \rfloor+1}} \frac{y^{k-\lfloor k/3 \rfloor}}{(1-y)^{\lfloor k/3 \rfloor+1}} \\ &= \sum_{m,n\geq 0} \left[\sum_{k\geq 0} \binom{m-k+2\lfloor k/3 \rfloor}{\lfloor k/3 \rfloor} \binom{n-k+2\lfloor k/3 \rfloor}{\lfloor k/3 \rfloor} \right] x^m y^n \end{aligned}$$

$z_{m,n}$	0	1	2	3	4	5	6	7	8	9	10
0	0	1	1	1	1	1	1	1	1	1	1
1	1	0	0	0	0	0	0	0	0	0	0
2	1	0	4	5	6	$\overline{7}$	8	9	10	11	12
3	1	0	5	6	$\overline{7}$	8	9	10	11	12	13
4	1	0	6	7	12	18	25	33	42	52	63
5	1	0	7	8	18	30	44	60	78	98	120
6	1	0	8	9	25	44	70	104	147	200	264
7	1	0	9	10	33	60	104	168	255	368	510
8	1	0	10	11	42	78	147	255	412	629	918
9	1	0	11	12	52	98	200	368	629	1014	1558
10	1	0	12	13	63	120	264	510	918	1558	2514

Figure 1: Numbers of circular binary strings without zigzags.

and

$$\begin{split} & \frac{xy - x^2y^2}{1 - x - y + xy - x^2y^2} = \frac{1}{(1 - x)(1 - y)} \frac{xy - x^2y^2}{1 - \frac{x^2}{1 - x} \frac{y^2}{1 - y}} = \\ & = \sum_{k \ge 0} (-1)^k \frac{x^{k+1}}{(1 - x)^{\lfloor k/2 \rfloor + 1}} \frac{y^{k+1}}{(1 - y)^{\lfloor k/2 \rfloor + 1}} \\ & = \sum_{m,n \ge 0} \left[\sum_{k \ge 0} \binom{m - \lceil k/2 \rceil - 1}{\lfloor k/2 \rfloor} \binom{n - \lceil k/2 \rceil - 1}{\lfloor k/2 \rfloor} (-1)^k \right] x^m y^n \,, \end{split}$$

then, for $m, n \neq 0$, we have the identity

$$z_{m,n} = \sum_{k \ge 0} \binom{m - k + 2\lfloor k/3 \rfloor}{\lfloor k/3 \rfloor} \binom{n - k + 2\lfloor k/3 \rfloor}{\lfloor k/3 \rfloor} + -2\sum_{k \ge 0} \binom{m - \lceil k/2 \rceil - 1}{\lfloor k/2 \rfloor} \binom{n - \lceil k/2 \rceil - 1}{\lfloor k/2 \rfloor} (-1)^k.$$
(7)

Total number of circular binary strings without zigzags. Let s_n be the total number of all circular binary strings without zigzags of length n. Then the generating series $S(t) = \sum_{n \ge 0} s_n t^n$ of these numbers is given by

$$S(t) = Z(t,t) = \frac{2t - 2t^2 + 4t^4}{1 - 2t + t^2 - t^4} = \frac{2t(1 - t + 2t^3)}{(1 - t - t^2)(1 - t + t^2)}.$$
(8)

Since $(1 - 2t + t^2 - t^4)S(t) = 2t - 2t^2 + 4t^4$, we have the linear recurrence

$$s_{n+4} = 2s_{n+3} - s_{n+2} + s_n + 4\delta_{n,0}.$$
 (9)

The first few terms of this sequence are: 0, 2, 2, 2, 6, 12, 20, 30, 46, 74, 122, 200, 324, 522, 842, 1362, 2206, 3572, 5780, 9350, 15126, 24474. Moreover, since series (8) can be written as

$$S(t) = \frac{2-t}{1-t-t^2} + \frac{2-t}{1-t+t^2} - 4 = \sum_{n\geq 0} L_n t^n + \sum_{n\geq 0} H_n t^n - 4,$$

we have that $s_n = L_n + H_n - 4\delta_{n,0}$ where the L_n 's are Lucas numbers and the H_n 's are the numbers defined by the recurrence $H_{n+2} = H_{n+1} - H_n$ with the initial values $H_0 = 2$ and $H_1 = 1$. It is easy to see that the sequence $\{H_n\}_n$ is periodic with period 2, 1, -1, -2, -1, 1.

3. Central strings

We say that a circular binary string is *central* when the number of 1's is equal to the number of 0's. Let z_n be the number of all central circular binary strings without zigzags, with length 2n. The first few values of z_n are: 0, 2, 4, 6, 12, 30, 70, 168, 412, 1014, 2514, 6270, 15702, 39468, 99516, 251586, 637500. Identities (4), (7), (25) immediately imply that

$$z_{n} = 2\sum_{k\geq 1} \binom{k}{n-2k} \binom{k+1}{n-2k} + 2\sum_{k\geq 0} \binom{k+1}{n-2k-2}^{2}$$
(10)

$$z_{n} = \sum_{k \ge 0} \binom{n - k + 2\lfloor k/3 \rfloor}{\lfloor k/3 \rfloor}^{2} - 2 \sum_{k \ge 0} \binom{n - \lceil k/2 \rceil - 1}{\lfloor k/2 \rfloor}^{2} (-1)^{k}$$
(11)

$$z_n = \sum_{k \ge 0} {\binom{n-k-2}{k}^2 \frac{2n}{k+1}}.$$
 (12)

Now we will find the geometric series, a recurrence and a first-order asymptotic formula for the numbers z_n . The geometric series of the numbers z_n is the diagonal series of (5) and, by Cauchy's integral theorem, is given by [2, 8, 15, 7]

$$z(t) = \sum_{n \ge 0} z_n t^n = \frac{1}{2\pi i} \oint Z\left(z, \frac{t}{z}\right) \frac{dz}{z}$$
$$= \frac{1}{2\pi i} \oint \frac{z^2 - (2t - 4t^2)z + t}{-z(z^2 - (1 + t - t^2)z + t)} dz$$

where the integral is taken over a simple contour containing all the singularities s(t) of the series such that $s(t) \to 0$ as $t \to 0$. The polynomial $z^2 - (1 + t - t^2)z + t$ at the denominator has roots

$$z^{\pm} = \frac{1+t-t^2 \pm \sqrt{(1+t-t^2)^2 - 4t}}{2}$$

of which only $z^- \to 0$ as $t \to 0$. Hence z = 0 and $z = z^-$ are the only poles (of first order) which tend to zero as $t \to 0$. By the residue theorem, we have

$$z(t) = \lim_{z \to 0} \frac{z^2 - (2t - 4t^2)z + t}{-(z^2 - (1 + t - t^2)z + t)} + \lim_{z \to z^-} \frac{z^2 - (2t - 4t^2)z + t}{-z(z - z^+)}$$

that is

$$z(t) = \frac{1 - t + 3t^2 - \sqrt{1 - 2t - t^2 - 2t^3 + t^4}}{\sqrt{1 - 2t - t^2 - 2t^3 + t^4}}.$$
(13)

Now to obtain a recurrence for the numbers z_n we rewrite identity (13) as

$$\sqrt{1 - 2t - t^2 - 2t^3 + t^4} = \frac{(1 - 2t - t^2 - 2t^3 + t^4)(z(t) + 1)}{1 - t + 3t^2}.$$

Then, differentiating such an identity, we obtain the identity

$$(1 - 3t + 4t^2 - 7t^3 - 7t^5 + 3t^6)z'(t) + -(8t - 6t^2 - 6t^3 - 2t^4)z(t) - 8t + 6t^2 + 6t^3 + 2t^4 = 0$$

which implies the linear recurrence

$$(n+6)z_{n+6} - 3(n+5)z_{n+5} + 4(n+2)z_{n+4} + -(7n+15)z_{n+3} + 6z_{n+2} - (7n+5)z_{n+1} + 3nz_n = 0.$$
(14)

Finally we give a first-order asymptotic formula for z_n . Recall ([1] p. 252) that given a complex number $\xi \neq 0$ and a complex function f(t) analytic at the origin, if $f(t) = (1 - t/\xi)^{-\alpha}\psi(t)$ where $\psi(t)$ is a series with radius of convergence $R > |\xi|$ and $\alpha \notin \{0, -1, -2, ...\}$, then

$$[t^n]f(t) \sim \frac{\psi(\xi)}{\xi^n} \frac{n^{\alpha-1}}{\Gamma(\alpha)}.$$

Since

$$z(t) = \frac{1 - t + 3t^2}{\sqrt{1 - 2t - t^2 - 2t^3 + t^4}} - 1$$
$$= \left(1 - \frac{t}{\xi}\right)^{-1/2} \frac{1 - t + 3t^2}{\sqrt{(1 + t + t^2)(1 - \xi t)}} - 1$$

where $\xi = (3 - \sqrt{5})/2$ and $\alpha = 1/2$, we have

$$z_n \sim \frac{\sqrt{5} - 1}{2} \sqrt{\frac{\sqrt{5}}{n\pi}} \left(\frac{3 + \sqrt{5}}{2}\right)^n$$
 (15)

In particular

$$\lim_{n \to \infty} \frac{z_{n+1}}{z_n} = \frac{3 + \sqrt{5}}{2}.$$
 (16)

In [11] we proved that for the number w_n of all central binary strings, without zigzags, of length 2n we have the asymptotic formula

$$w_n \sim \sqrt{\frac{4}{n\pi\sqrt{5}}} \left(\frac{3+\sqrt{5}}{2}\right)^n.$$

This implies that

$$\lim_{n \to \infty} \frac{w_n}{z_n} = \frac{4}{\sqrt{5} - 1} \simeq 3.24$$

or equivalently $w_n \simeq 3.24 z_n$.

4. The matrix Z

In this section we will prove that the matrix

has a factorization LTL^t where L is the lower triangular matrix

$$L = [l_{i,j}]_{i,j \ge 0} = \begin{bmatrix} 1 & & & & \\ 0 & 1 & & & & \\ 0 & 1 & 1 & & & \\ 0 & 1 & 1 & 2 & 1 & & \\ 0 & 1 & 1 & 2 & 1 & & \\ 0 & 1 & 1 & 3 & 2 & 1 & & \\ 0 & 1 & 1 & 4 & 3 & 3 & 1 & \\ 0 & 1 & 1 & 5 & 4 & 6 & 3 & 1 & \\ 0 & 1 & 1 & 6 & 5 & 10 & 6 & 4 & 1 \\ \dots & & & & & & & \end{bmatrix}$$

with entries given by

$$l_{i,0} = 0^i$$
, $l_{i,j} = \begin{pmatrix} i - \lfloor j/2 \rfloor - 1 \\ i - j \end{pmatrix}$ for $i \ge j > 1$,

 $L^t\,$ is the transpose of $\,L\,$ and $\,T\,$ is the tridiagonal matrix

$$T = [t_{i,j}]_{i,j\geq 0} = \begin{bmatrix} 0 & 1 \\ 1 & 0 & 0 \\ & 0 & 4 & 1 \\ & & 1 & 0 & 0 \\ & & & 0 & 4 & 1 \\ & & & & 1 & 0 & 0 \\ & & & & & 0 & 4 & 1 \\ & & & & & 1 & 0 & 0 \\ & & & & & & 0 & 4 \\ & & & & & & & 0 & 4 \end{bmatrix}$$

with entries

$$t_{i,j} = [j \mod 2 = 0] \,\delta_{i,j+1} + 4[i \mod 2 = 0, i \neq 0] \delta_{i,j} + [i \mod 2 = 0] \,\delta_{i+1,j}$$

where the square brackets denote the Iverson notation [6] for the characteristic function of a proposition and $\delta_{i,j} = [i = j]$ is the usual Kronecker delta.

To prove the stated decomposition it is sufficient to rewrite the series Z(x, y) in the following way:

$$\begin{split} &Z(x,y) = \frac{x(1-y) + 4x^2y^2 + y(1-y)}{(1-x)(1-y) - x^2y^2} \\ &= \frac{x}{1-x} \frac{1}{1-\frac{x^2}{1-x} \frac{y^2}{1-y}} + 4\frac{x^2}{1-x} \frac{y^2}{1-y} \frac{1}{1-\frac{x^2}{1-x} \frac{y^2}{1-y}} + \frac{y^2}{1-y} \frac{1}{1-\frac{x^2}{1-x} \frac{y^2}{1-y}} \\ &= \sum_{k \ge 0} \frac{x^{2k+1}}{(1-x)^{k+1}} \frac{y^{2k}}{(1-y)^k} + 4\sum_{k \ge 1} \frac{x^{2k}}{(1-x)^k} \frac{y^{2k}}{(1-y)^k} + \sum_{k \ge 0} \frac{x^{2k}}{(1-x)^k} \frac{y^{2k+1}}{(1-y)^{k+1}} \\ &= \sum_{h,k \ge 0} \frac{x^h}{(1-x)^{\lceil h/2 \rceil}} [k \mod 2 = 0] \delta_{h,k+1} \frac{y^k}{(1-y)^{\lceil k/2 \rceil}} + \\ &\sum_{h,k \ge 0} \frac{x^h}{(1-x)^{\lceil h/2 \rceil}} [k \mod 2 = 0] \delta_{h+1,k} \frac{y^k}{(1-y)^{\lceil k/2 \rceil}} \\ &= \sum_{h,k \ge 0} \frac{x^h}{(1-x)^{\lceil h/2 \rceil}} [k \mod 2 = 0] \delta_{h+1,k} \frac{y^k}{(1-y)^{\lceil k/2 \rceil}} \\ &= \sum_{h,k \ge 0} \frac{x^h}{(1-x)^{\lceil h/2 \rceil}} t_{h,k} \frac{y^k}{(1-y)^{\lceil k/2 \rceil}} \\ &= \sum_{h,k \ge 0} \frac{x^h}{(1-x)^{\lceil h/2 \rceil}} t_{h,k} \frac{y^k}{(1-y)^{\lceil k/2 \rceil}} \end{split}$$

where the numbers $l_{i,j}$ are the coefficients of the series

$$\sum_{i \ge 1} l_{i,j} x^i = \frac{x^j}{(1-x)^{\lceil j/2 \rceil}}$$

Then it follows that

$$l_{i,j} = \begin{pmatrix} i-j+\lceil j/2\rceil - 1\\ \lceil j/2\rceil - 1 \end{pmatrix} = \begin{pmatrix} i-\lfloor j/2\rfloor - 1\\ i-j \end{pmatrix}.$$

Hence we have the identity

$$\sum_{h,k\geq 0} l_{i,h} t_{h,k} l_{j,k} = z_{i,j} \tag{17}$$

which is equivalent to the decomposition $W = LTL^t$.

k

5. A Riordan Matrix

In [11] we observed that the numbers $w_{i,j}$ of all linear binary strings without zigzags with *i* 1's and *j* 0's can be used to generate a Riordan matrix. This is also true for the numbers $z_{i,j}$. Let $r_{n,k} = z_{n,n-k}$ for $k \leq n$, $n \neq 0$, $r_{0,0} = 1$ and $r_{n,k} = 0$ otherwise. Then

$$R = [r_{n,k}]_{n,k\geq 0} = \begin{bmatrix} 1 & & & & \\ 0 & 1 & & & \\ 4 & 0 & 1 & & & \\ 6 & 5 & 0 & 1 & & \\ 12 & 7 & 6 & 0 & 1 & & \\ 30 & 18 & 8 & 7 & 0 & 1 & \\ 30 & 18 & 8 & 7 & 0 & 1 & \\ 70 & 44 & 25 & 9 & 8 & 0 & 1 & \\ 168 & 104 & 60 & 33 & 10 & 9 & 0 & 1 & \\ 412 & 255 & 147 & 78 & 42 & 11 & 10 & 0 & 1 & \\ \dots & & & & & & & \end{bmatrix}$$

is a Riordan matrix [13, 10]. Indeed also this time we have the recurrence

$$r_{n+2,k+1} = r_{n+1,k} + r_{n+2,k+2} - r_{n+1,k+1} + r_{n,k+1}$$
(18)

which can be obtained by (6). Moreover the matrix R is completely determined by the recurrences

$$\begin{cases} r_{n+2,k+1} = r_{n+1,k} + r_{n,k+1} + r_{n,k+2} + \dots + r_{n,n} \\ r_{n+2,0} = r_{n+1,0} + r_{n,0} + 2r_{n,1} + \dots + 2r_{n,n} + 3\delta_{n,0} \end{cases}$$

with the initial conditions $r_{0,0} = 1$, $r_{1,0} = 0$ and $r_{1,1} = 1$.

It is easy to see that the recurrence (18) imply that the generating series $r_k(t) = \sum_{n \ge k} r_{n,k} t^n$ of the columns of the matrix R have the form $r_k(t) = g(t)f(t)^k$ where

 $g(t) = r_0(t) = z(t) + 1$ and

$$f(t) = \frac{1 + t - t^2 - \sqrt{1 - 2t - t^2 - 2t^3 + t^4}}{2}$$

This last series is the generating series of the numbers of irreducible secondary structures [16, 15]. Since $g_0 = 1$, $f_0 = 0$ and $f_1 \neq 0$, R is the Riordan matrix

$$\left(\frac{1-t+3t^2}{\sqrt{1-2t-t^2-2t^3+t^4}}, \frac{1+t-t^2-\sqrt{1-2t-t^2-2t^3+t^4}}{2}\right)$$

Finally, since (for $k \ge 1$)

$$r_{n,k} = [t^n] g(t)f(t)^k = \left(1 - \frac{t}{\xi}\right)^{-1/2} \frac{1 - t + 3t^2}{\sqrt{(1 + t + t^2)(1 - \xi t)}} f(t)^k$$

where $\xi = (3 - \sqrt{5})/2$ and $\alpha = 1/2$, using the theorem we recalled in Section 3, it follows that (for every fixed k)

$$r_{n,k} \sim \frac{\sqrt{5} - 1}{2} \sqrt{\frac{\sqrt{5}}{n\pi}} \left(\frac{3 + \sqrt{5}}{2}\right)^n \left(\frac{\sqrt{5} - 1}{2}\right)^k = \sqrt{\frac{\sqrt{5}}{n\pi}} (\phi + 1)^n (\phi - 1)^{k+1}$$
(19)

where $\phi = (1 + \sqrt{5})/2$ is the golden ratio. More in general we have [14]

$$\sum_{k=0}^{n} a_k r_{n,k} = [t^n] g(t) a(f(t))$$

where a(t) is the ordinary generating series for the numbers a_k . For instance, for $a_k = 1$ and $a_k = k$, we have

$$\sum_{k=0}^{n} r_{n,k} = [t^n] g(t) f(t) \sim \frac{3 - \sqrt{5}}{2} \sqrt{\frac{\sqrt{5}}{n\pi}} \phi^{2n}$$
$$\sum_{k=0}^{n} k r_{n,k} = [t^n] g(t) \frac{f(t)}{1 - f(t)} \sim \sqrt{\frac{\sqrt{5}}{n\pi}} \phi^{2n+2}.$$

6. Cyclic species and generating series

The theory of species allows to interpret combinatorially several algebraic operations on formal series. As well known [9, 1, 5] ordinary species, linear species and cyclic species correspond to the combinatorics of sets, linearly ordered sets and cycles, respectively. Moreover their cardinalities are the exponential series, the ordinary power series and the logarithmic series. In this way several combinatorial operations on species become algebraic operations on series. In particular if a species S can be expressed by means of sums, products and compositions of other species S_1, \ldots, S_k then its cardinality can be expressed in the same way in terms of the cardinalities of S_1, \ldots, S_k . This is true also for types, considering as cardinalities the indicatrice series.

In this section we consider the cyclic species of circular binary strings without zigzags and we show that it can be decomposed in more elementary species. This decomposition allows to compute the logarithmic series for the numbers s_n and $z_{m,n}$ and above all it allows to obtain the indicatrice series for types (see next section).

Let \mathcal{Z} be the cyclic species of circular binary strings without zigzags and let $\mathcal{Z}^{\geq 2}$ be the cyclic species of circular binary strings without zigzags with maximal blocks of length at least 2. Then clearly $\mathcal{Z} = 2\mathcal{X} + \mathcal{Z}^{\geq 2}$ where \mathcal{X} is the linear species of singletons.

Let C be a cycle and $\alpha \in \mathbb{Z}^{\geq 2}[C]$. Decompose α in its maximal blocks of 1's and 0's. Then pair each maximal block of 1's with the next maximal block of 0's. For instance, if $\alpha = 110001111001$ then we have the grouping $\alpha = 11(000)][(1111)(00)][(1 \cdot 111)(00)][(1 \cdot 111)(00)]][(1 \cdot 111)(00)][(1 \cdot 111)(00)][(1$

Hence we have that to give a structure of species $\mathcal{Z}^{\geq 2}$ on a cycle C is equivalent to assign a cyclic partition π of C and then to assign on each class of π a pair of disjoint intervals with at least 2 elements whose union is all the class. So it follows that

$$\mathcal{Z}^{\geq 2} = \mathcal{L} \circ \left(\mathcal{G}^{\geq 2} \cdot \mathcal{G}^{\geq 2} \right) \tag{20}$$

where \mathcal{L} is the logarithmic species (or the uniform cyclic species) and \mathcal{G} is the geometric species (or the uniform linear species). Relation (20) implies that

$$\begin{aligned} \mathbf{Card}(\mathcal{Z}^{\geq 2};t) &= \mathbf{Card}(\mathcal{L};t) \circ \mathbf{Card}(\mathcal{G}^{\geq 2};t)^2 \\ &= \ln \frac{1}{1-t} \circ \left(\frac{t^2}{1-t}\right)^2 \\ &= \ln \frac{(1-t)^2}{1-2t+t^2-t^4} \end{aligned}$$

that is

$$\mathbf{Card}(\mathcal{Z}^{\geq 2}; t) = \ln \frac{1}{1 - 2t + t^2 - t^4} - 2\ln \frac{1}{1 - t}.$$
 (21)

Since $\mathcal{Z} = 2\mathcal{X} + \mathcal{Z}^{\geq 2}$, it follows that

$$\mathbf{Card}(\mathcal{Z};t) = \sum_{n \ge 1} s_n \, \frac{t^n}{n} = \ln \frac{1}{1 - 2t + t^2 - t^4} \,. \tag{22}$$

This is the logarithmic generating series for the numbers s_n . This identity can be obtained directly by (8) using the formula

$$\sum_{n \ge 1} s_n \, \frac{t^n}{n} = \int_0^t \frac{S(u) - S(0)}{u} \, \mathrm{d}u \, .$$

To obtain a generating series for the numbers $z_{m,n}$ we can consider the weighted cyclic species $\mathcal{Z}_{x,y}$ where each maximal block of 1's have weight x and each block of 0's have weight y. Then, exactly as before, we have

$$\mathcal{Z}_{x,y}^{\geq 2} = \mathcal{L} \circ \left(\mathcal{G}_x^{\geq 2} \cdot \mathcal{G}_y^{\geq 2} \right) \tag{23}$$

where $\mathcal{G}_x^{\geq 2}$ is the weighted linear species of the linear orders of size at least 2 with weight x. Hence we have

$$\begin{aligned} \mathbf{Card}(\mathcal{Z}_{x,y}^{\geq 2};t) &= \mathbf{Card}(\mathcal{L};t) \circ (\mathbf{Card}(\mathcal{G}_x^{\geq 2};t) \cdot \mathbf{Card}(\mathcal{G}_y^{\geq 2};t)) \\ &= \ln \frac{1}{1-t} \circ \left(\frac{x^2 t^2}{1-xt} \frac{y^2 t^2}{1-yt}\right) \\ &= \ln \frac{(1-xt)(1-yt)}{1-xt-yt+xyt^2-x^2y^2t^4} \end{aligned}$$

that is

$$\mathbf{Card}(\mathcal{Z}_{x,y}^{\geq 2};t) = \ln \frac{1}{1 - xt - yt + xyt^2 - x^2y^2t^4} - \ln \frac{1}{(1 - xt)(1 - yt)}$$

Since $\mathcal{Z}_{x,y} = \mathcal{X}_x + \mathcal{X}_y + \mathcal{Z}_{x,y}^{\geq 2}$, we have

$$\mathbf{Card}(\mathcal{Z}_{x,y};t) = \ln \frac{1}{1 - xt - yt + xyt^2 - x^2y^2t^4}$$

In particular, for t = 1, we obtain the series

$$\sum_{m+n\geq 1} z_{m,n} \frac{x^m y^n}{m+n} = \ln \frac{1}{1-x-y+xy-x^2 y^2}$$
(24)

from which we have that

$$z_{m,n} = (m+n)[x^m y^n] \ln \frac{1}{1 - x - y + xy - x^2 y^2}.$$

Identity (24) can also be obtained by (5) using the formula

$$\sum_{m+n\geq 1} z_{m,n} \frac{x^m y^n}{m+n} = \int_0^x Z\left(t, \frac{y}{x}t\right) \frac{\mathrm{d}t}{t} \, .$$

Third formula. We can obtain another explicit formula for the numbers $z_{m,n}$ expanding the series $\mathbf{Card}(\mathcal{Z}_{x,y}^{\geq 2}; 1)$. Indeed we have

$$\begin{aligned} \mathbf{Card}(\mathcal{Z}_{x,y}^{\geq 2};1) &= \ln \frac{1}{1 - \frac{x^2}{1 - x} \frac{y^2}{1 - y}} \\ &= \sum_{k \ge 1} \frac{1}{k} \left(\frac{x^2}{1 - x}\right)^k \left(\frac{y^2}{1 - y}\right)^k \\ &= \sum_{k \ge 1} \frac{1}{k} \sum_{m \ge 0} \binom{m - k - 1}{k - 1} x^m \sum_{n \ge 0} \binom{n - k - 1}{k - 1} y^n \\ &= \sum_{m,n \ge 0} \left[\sum_{k \ge 1} \binom{m - k - 1}{k - 1} \binom{n - k - 1}{k - 1} \frac{m + n}{k} \right] \frac{x^m y^n}{m + n}. \end{aligned}$$

Hence, for $m, n \geq 2$, we have the identity

$$z_{m,n} = \sum_{k \ge 0} \binom{m-k-2}{k} \binom{n-k-2}{k} \frac{m+n}{k+1}.$$
 (25)

7. Types

Let $\tilde{z}_{m,n}$ be the number of (0, 1)-necklaces without zigzags with m 1's and n 0's. Equivalently $\tilde{z}_{m,n}$ is the number of types of circular binary strings without zigzags with m 1's and n 0's. See [9, 1] for the theory of types of structures and [5] for the theory of type of cyclic structures.

Since $\mathcal{Z}_{x,y}^{\geq 2} = \mathcal{L} \circ (\mathcal{G}_x^{\geq 2} \cdot \mathcal{G}_y^{\geq 2})$, it follows that the corresponding species of types is given by

$$\widetilde{\mathcal{Z}_{x,y}^{\geq 2}} = \mathcal{L} \circ (\widetilde{\mathcal{G}_x^{\geq 2}} \cdot \mathcal{G}_y^{\geq 2}) = \mathcal{L}^{\circlearrowleft} \circlearrowright (\mathcal{G}_x^{\geq 2} \cdot \mathcal{G}_y^{\geq 2})$$

Similarly, if $\mathcal{Z}_{x,y,k}^{\geq 2}$ is the cyclic species of all circular binary strings without zigzags with exactly k external blocks, then

$$\mathcal{Z}_{x,y,k}^{\geq 2} = \frac{\mathcal{X}^k}{k} \circ \left(\mathcal{G}_x^{\geq 2} \cdot \mathcal{G}_y^{\geq 2}\right)$$

and consequently

$$\widetilde{\mathcal{Z}_{x,y,k}^{\geq 2}} = \left(\frac{\mathcal{X}^k}{k}\right)^{\circlearrowright} \circlearrowright \left(\mathcal{G}_x^{\geq 2} \cdot \mathcal{G}_y^{\geq 2}\right).$$

Hence it follows that

$$\mathbf{Card}(\widetilde{\mathcal{Z}_{x,y,k}^{\geq 2}};t) = Z_k\left(\frac{x^2t^2}{1-xt}\frac{y^2t^2}{1-yt}, \frac{x^4t^4}{1-x^2t^2}\frac{y^4t^4}{1-y^2t^2}, \dots, \frac{x^{2k}t^{2k}}{1-x^kt^k}\frac{y^{2k}t^{2k}}{1-y^kt^k}\right)$$

where

$$Z_k(x_1, x_2, \dots, x_k) = \frac{1}{k} \sum_{d|k} \varphi(d) x_d^{k/d}$$

is the indicatrice series for k-cycles [1, 9, 5], where φ is the Euler function. Then, for t = 1, we have

$$\begin{aligned} \mathbf{Card}(\mathcal{Z}_{x,y,k}^{\geq 2}; 1) &= \\ &= \frac{1}{k} \sum_{d|k} \varphi(d) \left(\frac{x^{2d}}{1 - x^d} \frac{y^{2d}}{1 - y^d} \right)^{k/d} \\ &= \frac{1}{k} \sum_{d|k} \varphi(d) \frac{(x^d)^{2k/d}}{1 - x^d} \frac{(y^d)^{2k/d}}{1 - y^d} \\ &= \frac{1}{k} \sum_{d|k} \varphi(d) \sum_{m \ge 0} \binom{m - k/d - 1}{k/d - 1} x^{md} \sum_{n \ge 0} \binom{n - k/d - 1}{k/d - 1} y^{nd} \\ &= \sum_{m,n \ge 0} \frac{1}{k} \sum_{d|k} \binom{m - k/d - 1}{k/d - 1} \binom{n - k/d - 1}{k/d - 1} \varphi(d) x^{md} y^{nd} \\ &= \sum_{m,n \ge 0} \frac{1}{k} \sum_{d|m, d|n} \binom{m/d - k/d - 1}{k/d - 1} \binom{n/d - k/d - 1}{k/d - 1} \varphi(d) x^{m} y^{n} \\ &= \sum_{m,n \ge 0} \left[\frac{1}{k} \sum_{d|m, d|n} \binom{m/d - k/d}{k/d} \frac{k/d}{(m - k)/d} \binom{n/d - k/d}{k/d} \frac{k/d}{(n - k)/d} \varphi(d) \right] x^m y^n . \end{aligned}$$

It follows that the number of all (0, 1)-necklaces without zigzags with m 1's, n 0's and k external blocks is given by

$$\widetilde{z}_{m,n}(k) = \sum_{d \mid (m,n,k)} \binom{(m-k)/d}{k/d} \binom{(n-k)/d}{k/d} \frac{k\varphi(d)}{(m-k)(n-k)}$$
(26)

where (m, n, k) is the greatest common divisor of m, n and k. Then, since $\widetilde{z}_{m,n} = \sum_{k\geq 1} \widetilde{z}_{m,n}(k)$, we have

$$\widetilde{z}_{m,n} = \sum_{k \ge 1} \left[\sum_{d \mid (m,n,k)} \binom{(m-k)/d}{k/d} \binom{(n-k)/d}{k/d} \frac{k\varphi(d)}{(m-k)(n-k)} \right]$$
(27)

where in the sum the index k is at most $\min(m/2, n/2)$. In particular we have

$$\widetilde{z}_n = \widetilde{z}_{n,n} = \sum_{k=1}^{\lfloor n/2 \rfloor} \left[\sum_{d \mid (n,k)} \binom{(n-k)/d}{k/d}^2 \frac{k\varphi(d)}{(n-k)^2} \right].$$
(28)

$\widetilde{z}_{m,n}$	0	1	2	3	4	5	6	7	8	9	10
0	0	1	1	1	1	1	1	1	1	1	1
1	1	0	0	0	0	0	0	0	0	0	0
2	1	0	1	1	1	1	1	1	1	1	1
3	1	0	1	1	1	1	1	1	1	1	1
4	1	0	1	1	2	2	3	3	4	4	5
5	1	0	1	1	2	3	4	5	6	$\overline{7}$	8
6	1	0	1	1	3	4	$\overline{7}$	8	11	14	17
$\overline{7}$	1	0	1	1	3	5	8	12	17	23	30
8	1	0	1	1	4	6	11	17	27	37	52
9	1	0	1	1	4	7	14	23	37	57	82
10	1	0	1	1	5	8	17	30	52	82	128

Figure 2: Numbers of types of circular binary strings without zigzags.

See Figure for the first values of $\tilde{z}_{m,n}$. The first few values of \tilde{z}_n are: 0, 1, 1, 2, 3, 7, 12, 27, 57, 128, 285, 659, 1518, 3561, 8389, 19936, 47607, 114397, 276018, 669035.

When (m, n) = 1 identity (27) simplifies in

$$\widetilde{z}_{m,n} = \sum_{k \ge 1} \binom{m-k}{k} \binom{n-k}{k} \frac{k}{(m-k)(n-k)}.$$
(29)

Finally consider the number \tilde{s}_n of all (0, 1)-necklaces of length n without zigzags. Then

$$\widetilde{s}_n = \sum_{k=0}^n \widetilde{z}_{n,n-k}$$

The first few values of \tilde{s}_n are: 0, 2, 2, 2, 3, 4, 5, 6, 8, 10, 15, 20, 31, 42, 64, 94, 143, 212, 329, 494, 766, 1170, 1811, 2788, 4341.

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