COMMUTATIVITY FOR A CERTAIN CLASS OF RINGS

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ABSTRACT. We discuss the commutativity of certain rings with unity 1 and one-sided s-unital rings under each of the following conditions: $x^r[x^s,y]=\pm[x,y^t]x^n,\, x^r[x^s,y]=\pm x^n[x,y^t],\, x^r[x^s,y]=\pm[x,y^t]y^m,$ and $x^r[x^s,y]=\pm y^m[x,y^t],$ where $r,\,n,$ and m are non-negative integers and $t>1,\,s$ are positive integers such that either $s,\,t$ are relatively prime or s[x,y]=0 implies [x,y]=0. Further, we improve the result of [6, Theorem 3] and reprove several recent results.

Throughout the paper R will represent an associative ring (with or without unity 1). Let C(R) denote the commutator ideal of R, Z(R) the center of R, and H the heart of R. By $(GF(q))_2$ we mean the ring of 2×2 matrices over the Galois field GF(q) with q elements. Set $e_{11} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$, $e_{12} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, and $e_{22} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ in $(GF(p))_2$ for a prime p. Following [1], a ring R is said to be left (resp., right) s-unital, if $x \in Rx$

p. Following [1], a ring R is said to be left (resp., right) s-unital, if $x \in Rx$ (resp., $x \in xR$) for each element x in R. Further, R is called s-unital if $x \in Rx \cap xR$ (see [2] and [3]). The symbol [x, y] stands for the commutator xy - yx for any $x, y \in R$. In some particular cases several authors [1–3, 5] studied the commutativity of rings satisfying the following conditions:

- (c₁) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm [x, y^t]x^n$ with integers $t > 1, s \ge 1, n \ge 0, r \ge 0.$
- (c₂) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm [x, y^t]y^m$ with integers $t > 1, s \ge 1, m \ge 0, r \ge 0.$
- (c₃) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm x^n[x, y^t]$ with integers $t > 1, s \ge 1, n \ge 0, r \ge 0.$
- (c₄) For every $x, y \in R$ there holds $x^r[x^s, y] = \pm y^m[x, y^t]$ with integers $t > 1, s \ge 1, m \ge 0, r \ge 0$.

To develop the commutativity of a ring R satisfying one of the above conditions, we need some extra condition such as

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Q(s): For any positive integer s s[x,y] = 0 implies [x,y] = 0 for all $x,y \in R$,

or

Q(s,t): s and t are relatively prime integers.

To prove our results we need a few preliminary lemmas. We begin with the following well-known result [6, p. 221].

Lemma 1. Let $x, y \in R$ and [[x, y], x] = 0. Then $[x^k, y] = kx^{k-1}[x, y]$ for any positive integer k.

Lemma 2 ([7]). Let R be a ring with unity 1, and let $f: R \to R$ be a function such that f(x+1) = f(x) for every $x \in R$. If for some positive integer n we have $x^n f(x) = f(x)x^n = 0$ for all $x \in R$, then necessarily f(x) = 0.

Lemma 3 ([8]). Let f be a polynomial in the non-commuting indeterminates x_1, x_2, \ldots, x_n with integer coefficients. Then the following statements are equivalent:

- (i) C(R) is a nil ideal for any ring R satisfying f = 0.
- (ii) $(GF(p))_2$ fails to satisfy f = 0 for every prime p.

The main results of the paper are:

Theorem 1. Let R be a ring with 1 satisfying (c_1) together with either Q(s,t) or Q(s). Then R is commutative.

Theorem 2. Let R be a ring with 1 satisfying (c_2) together with either Q(s,t) or Q(s). Then R is commutative.

Theorem 3. Let R be a ring with 1 satisfying (c_3) together with either Q(s,t) or Q(s). Then R is commutative.

Theorem 4. Let R be a ring with 1 satisfying (c_4) such that either Q(s,t) or Q(s) holds. Then R is commutative.

Remark 1. The well-known Grassmann algebra rules out the possibility of t=1 in the above theorems. Moreover, if we drop the restriction that R has unity 1 in the above theorems, then the ring R may be poorly non-commutative. Indeed, the following example demonstrates this constraint: Let D_k be the ring of all $k \times k$ matrices over a division ring D, and let $A_k = \{(a_{ij} \in D_k | a_{ij} = 0, j \geq i\}$. Then A_k is a non-commutative ring for any positive integer k > 2. But A_3 satisfies (c_1) , (c_2) , (c_3) , and (c_4) for all positive integers s, t and non-negative integers m, n, and r.

According to [9], let f be a polynomial in two non-commuting indeterminates with integral coefficients. Now write f in the form

$$f(x,y) = \sum_{r=1}^{d} \sum_{i=0}^{r} f_{ri}(x,y),$$

where f_{ri} denotes the sum of all terms of f with degree i in x and r-i in y. Let s_{ri} denote the sum of the coefficients of f_{ti} . Then we note that if

$$s_{ri} = 0$$
 for all r and i , (I)

then all commutative rings satisfy f = 0. The converse is also true as proved by Kezlan [9]. For this we take a transcendental extension field of rationals and use the fact that the polynomial

$$f(X^d, X^{d+1}) = \sum_{r=1}^{d} \sum_{i=0}^{r} s_{ri} X^{(d+1)r-i}$$

in one indeterminate X vanishes on it.

Thus if f is to be equivalent with the commutativity it must at least satisfy (I), and so we may write

$$f(x,y) = m[x,y] + \sum_{r=3}^{d} \sum_{i=1}^{r-1} f_{ri}(x,y)$$

for some integer m. Moreover, if m is divisible by a prime p, then the ring of strictly upper triangular 3×3 matrices over any field of characteristic p satisfies the identity, and so we assume that

$$m = \pm 1.$$
 (II)

Let us consider the condition

$$f_{r1} = 0$$
 for all r . (III)

In [9] Kezlan proved the following

Theorem. If f satisfies (I), (II), and (III), then an arbitrary ring R is commutative if and only if it satisfies the identity f = 0.

Also, it should be remarked that (I) in the theorem could be replaced by

$$f_{r,r-1} = 0$$
 for all r . (IV)

An example was given in [9] to show that we must assume either (III) or (IV) or some other condition concerning the terms linear in x or in y. So (I) and (II) alone are not enough.

Further, in [9] Kezlan proved that for a polynomial f(x,y) the identity f(x,y) = 0 is equivalent with the commutativity for all rings if f(x,y) = 0

 $\pm[x,y] + \sum_{r=3}^{d} \sum_{i=1}^{r-1} f_{ri}(x,y)$, where f_{ri} denotes the sum of all terms of f(x,y) with degree i in x and r-i in y, where s_{si} denotes the sum of coefficients of f_{ri} . It was also shown that under certain restrictions on the terms linear in one variable or the other, the polynomial identity f(x,y) = 0 is indeed equivalent with the commutativity.

The following fact plays an important role in the proof of our results.

Lemma 4 ([9]). Let R be a ring satisfying the polynomial identity $f(x,y) = \pm [x,y]$, where each homogeneous component of f(x,y) has integer coefficients whose sum is zero and where f(x,y) has no linear terms either in x or in y. Then R is commutative.

Now we prove

Proposition 1. Let R be a ring with p[x,y] = 0 for all x, y in R, p a prime, and let s be a positive integer not divisible by p. Suppose that R satisfies a polynomial identity of the form $f(x,y) = \pm m[x,y]$, where m is any non-negative integer and f(x,y) satisfies the same condition as in Lemma 4. Then R is commutative.

Proof. Let qp + mn = 1. By hypothesis, we have

$$f(x,y) = \pm m[x,y]$$
 for all $x,y \in R$.

Multiply the above identity by n and use p[x,y]=0 to get $nf(x,y)=\pm[x,y]$. Hence by Lemma 4 R is commutative. \square

Lemma 5. Let R be a ring with 1 satisfying (c_1) or (c_2) or (c_3) or (c_4) such that either Q(s,t) or Q(s) holds. Then C(R) is nil.

Proof. Let $x = e_{22}$ and $y = e_{21} + e_{22}$ in (c_1) and (c_2) . Then by Lemma 3, x and y fail to satisfy the polynomial identities (c_3) and (c_4) for any prime p. Similarly, $x = e_{11}$ and $y = e_{21}$ fail to satisfy (c_3) and (c_4) . Thus C(R) is a nil ideal. \square

Proof of Theorem 1. According to Lemma 5, C(R) is nil. Now let R satisfy (c_1) . Then by contradiction we assume that there exists a non-commutative ring with 1 satisfying (c_1) . Another step is to pass to the subdirectly irreducible case, and with Q(s,t) this reduction can be obtained as in [9]. Therefore, without loss of generality, we assume that there is a ring R such that

(α) R is a non-commutative ring with 1, satisfies (c_1) , and R is subdirectly irreducible with heart H = C(R) with $H^2 = (0)$.

Using the condition Q(s), we must slightly modify the arguments in [8] because this condition is not preserved under homomorphism. Then sssuming that we have a non-commutative ring A with unity satisfying (c_1) and that Q(s) holds, we have $a, b \in A$ with $s[a, b] \neq 0$. By Zorn's lemma we get an ideal M which is maximal with respect to the exclusion of s[a, b]. Then the ring $\overline{A} = A/M$ is not commutative, satisfies (c_1) , and is subdirectly irreducible with the heart containing $\overline{s}[\overline{a}, \overline{b}]$. Hence the ring $R = \overline{A}$ may not inherit Q(s). This shows that s does not annihilate all commutators of R. To summarize these paragraphs, if Q(s,t) holds, then we have a ring R satisfying (α) . If Q(s) holds, then, in addition to (α) , we have

 (β) s does not annihilate all commutators of R.

Now we define a mapping $F: R \to R$ for fixed $y, w \in R$ by

$$F(x) = \pm [x, (y+w)^t - y^t - w^t] \quad \text{for all} \quad x \in R.$$

Replace x by x + 1 in (1) to get

$$F(x+1) = \pm [x, (y+w)^t - y^t - w^t] = F(x).$$

Multiplying (1) by x^n on the right, we get

$$\begin{split} F(x)x^n &= \pm [x, (y+w)^t - y^t - w^t]x^n = \\ &= \pm [x, (y+w)^t]x^n \mp [x, y^t]x^n \mp [x, w^t]x^n = \\ &= x^r[x^s, y+w] - \{\pm [x, y^t]x^n\} - \{\pm [x, w^t]x^n\} = \\ &= x^r[x^s, y] + x^r[x^s, w] - x^r[x^s, y] - x^r[x^s, w] = 0. \end{split}$$

Using Lemma 2, we obtain F(x) = 0 for all x, y in R. Hence

$$\pm [x, (y+w)^t - y^t - w^t]x^n = 0,$$

$$\pm [x, (y+w)^t] \mp [x, y^t] \mp [x, w^t] = 0$$

and

$$\pm[x, (y+w)^t] = \pm[x, y^t] \pm [x, w^t] = 0.$$
 (2)

Substituting w = 1 in (2), we get

$$\pm \left(t[x,y] + \sum_{k=2}^{t-1} {t \choose k} [x,y^k] \right) = 0.$$
 (3)

By (3) we can write

$$t[x,y] + \sum_{k=2}^{t-1} {t \choose k} [x,y^k] = 0.$$
 (3')

Replacing y in turn by $z_1y, z_2y, \ldots, z_{t-1}y$ in (3'), we get

$$\begin{aligned} z_1t[x,y] + \binom{t}{2}z_1^2[x,y^2] + \binom{t}{3}z_1^3[x,y^3] + \dots + \binom{t}{t-1}z_1^{t-1}[x,y^{t-1}] &= 0, \\ z_2t[x,y] + \binom{t}{2}z_2^2[x,y^2] + \binom{t}{3}z_2^3[x,y^3] + \dots + \binom{t}{t-1}z_2^{t-1}[x,y^{t-1}] &= 0, \\ \dots & \dots & \dots \\ z_{t-1}t[x,y] + \binom{t}{2}z_{t-1}^2[x,y^2] + \binom{t}{3}z_{t-1}^3[x,y^3] + \dots + \binom{t}{t-1}z_{t-1}^{t-1}[x,y^{t-1}] &= 0. \end{aligned}$$

The above identities can be written in the matrix form:

$$A_{(t-1)\times(t-1)}W_{(t-1)\times 1} = \begin{cases} z_1 & z_1^2 & z_1^3 & \cdots & z_t^{t-1} \\ z_2 & z_2^2 & z_2^3 & \cdots & z_t^{t-1} \\ z_3 & z_3^2 & z_3^3 & \cdots & z_t^{t-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ z_{t-1} & z_{t-1}^2 & z_{t-1}^3 & \cdots & z_{t-1}^{t-1} \end{pmatrix} \begin{pmatrix} t[x,y] \\ \binom{t}{2}[x,y^2] \\ \binom{t}{3}[x,y^3] \\ \vdots \\ \binom{t}{t-1}[x,y^{t-1}] \end{pmatrix} = 0.$$

Multiplying the above by $\operatorname{adj}(A)$, we have $\det(A)$. In particular, $\det(A)t[x,y] = 0$ for all $x, y \in R$.

Let z_i^j be the (i,j)th entry in the matrix A. Since factoring z_i out of the ith row of A gives a Vandermonde matrix, $\langle R, + \rangle$ is torsion free. Therefore [x,y]=0 for all $x,y \in R$, and R is commutative. (In short, if $\langle R, + \rangle$ is torsion free, then the standard Vandermonde determinant argument shows that the homogeneous components must vanish on R (see [9]). So [x,y]=0, since R is torsion free. Thus R is commutative.)

As in [9], the subdirect irreducibility gives a unique prime p such that R has elements of additive order p, from which it follows that pH = (0) (as a special case p annihilates all commutators).

Let p not divide t. Then (3) yields a polynomial identity of the type in Proposition 1 and hence R is commutative. Thus p must divide t. Therefore p cannot divide s, which is obvious if Q(s,t) holds, and is also true if Q(s) holds, since s does not annihilate all commutators as p does. Hence in either of the cases Q(s,t) and Q(s) we get

$$p$$
 divides t but does not divide s . (4)

By interchanging the roles of x and y in (2) we obtain

$$\pm [y, (x+w)^t] = \pm [y, x^t] \pm [y, w^t]. \tag{5}$$

Replace x by x + 1 in (c_1) to get the identities

$$(x+1)^r[(x+1)^s, y] = \pm [x, y^t](x+1)^n$$

and

$$\sum_{j=0}^{r} \sum_{k=1}^{s} {r \choose j} {s \choose k} x^{j} [x^{k}, y] = \pm \sum_{j=1}^{n} {n \choose j} [x, y^{t}] x^{s}.$$
 (6)

Now we prove that $H \subseteq Z(R)$. Let $x \in H$ and $y \in R$. Thus using $H^2 = (0)$, from (6) we have

$$s[x,y] = \pm [x,y^t] \quad \text{for all} \quad x \in H, \ y \in R.$$
 (7)

Substitute w = y into (5) to get

$$\pm [y, xy^{t-1} + yxy^{t-2} + \dots + y^{t-1}x] = 0$$

and so

$$\pm [x, y^t] = 0 \quad \text{for all} \quad x \in H, \ \ y \in R. \tag{8}$$

From (7), (8) and the fact that p does not divide s we have [x,y]=0 for $x \in H, y \in R$. Hence

$$H \subseteq Z(R)$$
. (9)

Thus all commutators are central. By Lemma 5 we get

$$[x, y^t] = ty^{t-1}[x, y] = 0 \text{ for all } x, y \in R.$$
 (10)

This condition shows that t divisible by p annihilates all commutators. Hence (6) can be rewritten as

$$s[x,y] + \sum_{k=2}^{s} {s \choose k} [x^k, y] = \pm \sum_{j=1}^{r} \sum_{k=1}^{s} {r \choose j} {s \choose k} x^j [x^k, y]$$

which is the form of Proposition 1. Hence R is commutative. \square Proof of Theorem 2. Let R satisfy (c_2) . As above, it is easy to observe that the reduction to a subdirectly irreducible ring R satisfying (α) with Q(s,t) holds and so do both conditions (α) and (β) with Q(s). Replacing x+1 by x and y+1 by y in c_2 gives the following identities:

$$s[x, y] = \pm G(x, y),$$

$$t[x, y] = \pm H(x, y),$$

where G and H satisfy the conditions of Lemma 4. As in the proof of Theorem 1, we have a unique prime p such that pH=(0). Thus by Proposition 1, p must divide both t and s, which is impossible if Q(s,t) holds. Otherwise, since s is divisible by p, it must annihilate all commutators. Thus (β) gives a contradiction if Q(s) holds. Hence R is commutative. \square

Since Theorems 3 and 4 can be proved in the same way, we omit their proofs.

A recent commutativity study [10] deals with rings satisfying related conditions of the form

$$[xy - p(yx), x] = 0$$

or

$$[xy - p(yx), y] = 0.$$

This becomes possible by interchanging the roles of x and y as

$$[yx - p(xy), y] = 0$$

or

$$[yx - p(xy), x] = 0.$$

The following result is proved in [10].

Theorem 5. Let R be a ring with 1 such that for each $x, y \in R$, there exist p(t), $q(t) \in t^2Z[t]$ for which [xy-p(yx),x]=0 and [xy-q(yx),y]=0. Then R is commutative.

Now we generalize Theorem 5.

Theorem 6. Let R be a ring with unity 1 such that for each $x, y \in R$ there exists $p(x) \in x^2 Z[x]$ for which either [yx - p(yx), x] = 0 or [yx - p(yx), y] = 0. Then R is commutative.

Proof. Suppose that $p(x) = a_2x^2 + a_3x^3 + a_4x^4 + \dots + a_nx^n$, where a_1, a_2, \dots, a_n are integers. By hypothesis, we can write for all $x, y \in R$

$$[yx, x] = [p(yx), x],$$

 $[x, y]x = [p(yx), x].$ (1')

Putting x + 1 for x in (1') gives

$$[x,y](x+1) = [p(y(x+1)),x],$$

$$[x,y]x + [x,y] = [a_2(y(x+1))^2 + a_3(y(x+1))^3 + \dots + a_n(y(x+1))^n,x],$$

$$[x,y]x + [x,y] = [a_2y(x+1)y + a_3y(x+1)y(x+1)y + \dots + \\
+ a_ny(x+1)y(x+1) \cdots y(x+1)y,x](x+1) = \\
= [a_2(yxy+y^2) + a_3(yxyxy + yxy^2 + y^2xy + y^3) + \dots + \\
+ a_n(yxyx \cdots y + (x+1),x](x+1),$$

$$[x,y]x + [x,y] = [a_2yxy + a_3yxyxy + \dots + a_nyxyxyx \cdots yx,x] + \\
+ [a_2y^2 + a_3y^3 + \dots + a_ny^n,x] + H(x,y),$$

where each homogeneous component of G has the sum of coefficients which is equal to zero. Thus H has no terms linear in y, and each term of H has a degree greater than 1 in x. Hence

$$[x,y]x + [x,y] = [p(yx),x] + [p(y),x] + H(x,y).$$
 (2')

Using (1') and (2'), we obtain

$$[x, y] = [x, p(y)] + G(x, y).$$

Thus R is commutative by Kezlan's theorem [11] or Lemma 4. Similarly, with the help of Lemma 4, R is commutative if R satisfies [yx - p(xy), y] = 0. \square

Similarly to the proof of Theorem 6, we can reprove the next theorem using Lemma 4.

Theorem 7 ([12]). Let R be a ring with unity 1 satisfying

$$[xy - p(yx), y] = 0$$
 for all $x, y \in R$,

where $p(x) \in x^2 Z[x]$. Then R is commutative.

Now let P be a ring property. If P is inherited by every subring and every homomorphic image, then P is called an h-property. More weakly, if P is inherited by every finitely generated subring and every natural homomorphic image modulo the annihilator of a central element, then P is called an H-property.

A ring property P such that a ring R has the property P if and only if all its finitely generated subrings have P is called an F-property.

Proposition 2 ([13, Proposition 1]). Let P be an H-property, and P' be an F-property. If every ring R with unity 1 having the property P has the property P', then every s-unital ring having P has P'.

Finally, Theorems 1–4, 6, and 7 are automatically generalized from a unital ring to s-unital ones due to Proposition 2. Indeed, we have

Theorem 8. Let R be a left (resp., right) s-unital ring satisfying (c_1) (resp., (c_2)). Then R is commutative.

Theorem 9. Let R be a left s-unital (resp., right) ring satisfying (c_3) (resp., (c_4)). Then R is commutative.

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