ON PREŠIĆ TYPE GENERALIZATION OF THE BANACH CONTRACTION MAPPING PRINCIPLE

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ABSTRACT. Let (X, d) be a metric space, k a positive integer and T a mapping of X^k into X. In this paper we proved that if T satisfies conditions (2.1) and (2.2) below, then there exists a unique x in X such that $T(x, x, \ldots, x) = x$. This result generalizes the corresponding theorems of the second author [4], [5] and the theorem of Dhage [3].

1. INTRODUCTION

The well known Banach contraction mapping principle states that if (X, d) is a complete metric space and $T: X \to X$ is a self mapping such that

$$d(Tx, Ty) \le \lambda d(x, y)$$

for all $x, y \in X$, where $0 \le \lambda < 1$, then there exists a unique $x \in X$ such that T(x) = x. In recent years many generalizations of this principle have appeared ([1], [2], [6]). A special type generalization was introduced by the second author [4], [5].

Considering the convergence of ceratin sequences Prešić proved the following result.

Theorem 1. Let (X, d) be a complete metric space, k a positive integer and $T: X^k \to X$ a mapping satisfying the following contractive type condition

(1.1)
$$d(T(x_1, x_2, x_3, \dots, x_k), T(x_2, x_3, \dots, x_k, x_{k+1}))$$

$$\leq q_1 d(x_1, x_2) + q_2 d(x_2, x_3) + \ldots + q_k d(x_k, x_{k+1})$$

for every x_1, \ldots, x_{k+1} in X, where q_1, q_2, \ldots, q_k are non-negative constants such that $q_1 + q_2 + \ldots + q_k < 1$. Then there exists a unique point x in X such that $T(x, x, \ldots, x) = x$. Moreover, if $x_1, x_2, x_3, \ldots, x_k$ are arbitrary points in X and for $n \in \mathbb{N}$,

$$x_{n+k} = T(x_n, x_{n+1}, \dots, x_{n+k-1}),$$

then the sequence $\{x_n\}_{n=1}^{\infty}$ is convergent and

 $\lim x_n = T(\lim x_n, \lim x_n, \dots, \lim x_n).$

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Remark that condition (1.1) in the case k = 1 reduces to the well-known Banach contraction mapping principle. So, Theorem 1 is a generalization of the Banach fixed point theorem.

2. Main theorem

Inspired with the results in Theorem 1 we shall prove the following theorem.

Theorem 2. Let (X,d) be a complete metric space, k a positive integer and $T: X^k \to X$ a mapping satisfying the following contractive type condition

(2.1)
$$\begin{aligned} d(T(x_1, x_2, \dots, x_k), T(x_2, \dots, x_k, x_{k+1})) \\ &\leq \lambda \max\{d(x_i, x_{i+1}) : 1 \leq i \leq k\}, \end{aligned}$$

where $\lambda \in (0,1)$ is constant and x_1, \ldots, x_{k+1} are arbitrary elements in X. Then there exists a point x in X such that $T(x, \ldots, x) = x$. Moreover, if $x_1, x_2, x_3, \ldots, x_k$ are arbitrary points in X and for $n \in \mathbb{N}$,

 $x_{n+k} = T(x_n, x_{n+1}, \dots, x_{n+k-1}),$

then the sequence $\{x_n\}_{n=1}^{\infty}$ is convergent and

 $\lim x_n = T(\lim x_n, \lim x_n, \dots, \lim x_n).$

If in addition we suppose that on diagonal $\Delta \subset X^k$,

$$(2.2) d(T(u,\ldots,u),T(v,\ldots,v)) < d(u,v)$$

holds for all $u, v \in X$, with $u \neq v$, then x is the unique point in X with T(x, x, ..., x) = x.

Proof. Let x_1, \ldots, x_k be k arbitrary points in X. Using these points define a sequence $\{x_n\}$ as follows:

$$x_{n+k} = T(x_n, x_{n+1}, \dots, x_{n+k-1})$$
 $(n = 1, 2, \dots).$

For simplicity set $\alpha_n = d(x_n, x_{n+1})$. We shall prove by induction that for each $n \in \mathbb{N}$:

(2.3)
$$\alpha_n \leq K\theta^n$$
 (where $\theta = \lambda^{1/k}$, $K = \max\{\alpha_1/\theta, \alpha_2/\theta^2, \dots, \alpha_k/\theta^k\}$).

According to the definition of K we see that (2.3) is true for n = 1, ..., k. Now let the following k inequalities:

$$\alpha_n \le K\theta^n, \ \alpha_{n+1} \le K\theta^{n+1}, \ \dots, \ \alpha_{n+k-1} \le K\theta^{n+k-1}$$

be the induction hypotheses. Then we have:

$$\begin{aligned} \alpha_{n+k} &= d(x_{n+k}, x_{n+k+1}) \\ &= d(T(x_n, x_{n+1}, \dots, x_{n+k-1}), T(x_{n+1}, x_{n+2}, \dots, x_{n+k})) \\ &\leq \lambda \max\{\alpha_n, \alpha_{n+1}, \dots, \alpha_{n+k-1}\} \quad \text{(by (2.1) and the definition of } \alpha_i) \\ &\leq \lambda \max\{K\theta^n, K\theta^{n+1}, \dots, K\theta^{n+k-1}\} \quad \text{(by the induction hypotheses)} \\ &= \lambda K\theta^n \quad \text{(as } 0 \le \theta < 1) \\ &= K\theta^{n+k} \quad \text{(as } \theta = \lambda^{1/k}) \end{aligned}$$

and the inductive proof of (2.3) is complete. Next using (2.3) for any $n, p \in \mathbb{N}$ we have the following argument:

$$d(x_n, x_{n+p}) \leq d(x_n, x_{n+1}) + d(x_{n+1}, x_{n+2}) + \dots + d(x_{n+p-1}, x_{n+p})$$

$$\leq K\theta^n + K\theta^{n+1} + \dots + K\theta^{n+p-1}$$

$$\leq K\theta^n (1 + \theta + \theta^2 + \dots)$$

$$= K\theta^n / (1 - \theta)$$

by which we conclude that $\{x_n\}$ is a Cauchy sequence. Since X is a complete space, there exists x in X such that

$$x = \lim_{n \to \infty} x_n$$

Then for any integer n we have

$$\begin{aligned} d(x,T(x,\ldots,x) &\leq d(x,x_{n+k}) + d(x_{n+k},T(x,\ldots,x)) \\ &= d(x,x_{n+k}) + d(T(x_n,\ldots,x_{n+k-1}),T(x,\ldots,x)) \\ &\leq d(x,x_{n+k}) + d(T(x,\ldots,x,x),T(x,\ldots,x,x_n)) + \\ d(T(x,\ldots,x,x_n),T(x,\ldots,x_n,x_{n+1})) + \ldots \\ &+ d(T(x,x_n,x_{n+1},x_{n+k-2}),T(x_n,x_{n+1},\ldots,x_{n+k-1})) \\ &\leq d(x,x_{n+k}) + \lambda d(x,x_n) + \lambda \max\{d(x,x_n),d(x_n,x_{n+1})\} + \ldots \\ &+ \lambda \max\{d(x,x_n),d(x_n,x_{n+1}),\ldots,d(x_{n+k-2},x_{n+k-1})\}. \end{aligned}$$

Taking the limit when n tends to infinity we obtain $d(x, T(x, ..., x)) \leq 0$, which implies $T(x, \ldots, x) = x$. Thus we proved that

$$\lim x_n = T(\lim x_n, \lim x_n, \dots, \lim x_n)$$

Now suppose that (2.2) holds. To prove the uniqueness of the fixed point, let us assume that for some $y \in X$, $y \neq x$, we have $T(y, \ldots, y) = y$. Then by (2.2), $d(x,y) = d(T(x,\ldots,x),T(y,\ldots,y)) < d(x,y)$, which is a contradiction. So, x is the unique point in X such that $T(x, x, \ldots, x) = x$. \square

Remark 1. Theorem 2 is a generalization of Theorem 1, as the condition (1.1) implies the conditions (2.1) and (2.2). Indeed, since

> $q_1d(x_1, x_2) + q_2d(x_2, x_3) + \ldots + q_kd(x_k, x_{k+1})$ (q_1 + q_2 + \dots + q_k) max{d(x_1, x_2), d(x_2, x_3), \dots < $d(r_1, r_1)$

$$\leq (q_1 + q_2 + \ldots + q_k) \max\{d(x_1, x_2), d(x_2, x_3), \ldots, d(x_k, x_{k+1})\}$$

and $q_1 + q_2 + \ldots + q_k < 1$, we conclude the implication (1.1) \Rightarrow (2.2). Next, for any $u, v \in X$ with $u \neq v$, from (1.1) we have

$$\begin{aligned} &d(T(u, u, \dots, u), T(v, v, \dots, v)) \\ &\leq & d(T(u, \dots, u), T(u, \dots, u, v)) + d(T(u, \dots, u, v), T(u, \dots, u, v, v)) + \dots \\ &+ & d(T(u, v, \dots, v), T(v, v, \dots, v)) \\ &\leq & q_k d(u, v) + q_{k-1} d(u, v) + \dots + q_1 d(u, v) \end{aligned}$$

- $= (q_k + q_{k-1} + \ldots + q_1)d(u, v) < d(u, v),$

and consequently we conclude the implication $(1.1) \Rightarrow (2.2)$.

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The following example shows that the condition (2.2) in Theorem 2 can not be omitted.

Example 1. Let $X = [0, 1] \cup [2, 3]$ and let $T : X^2 \to X$ be a mapping defined by

$$\begin{split} T(x,y) &= \frac{x+y}{4}, & \text{if}(x,y) \in [0,1] \times [0,1], \\ T(x,y) &= 1 + \frac{x+y}{4}, & \text{if}(x,y) \in [2,3] \times [2,3], \\ T(x,y) &= \frac{x+y}{4} - \frac{1}{2}, & \text{if}(x,y) \in [0,1] \times [2,3], & \text{or} \ (x,y) \in [2,3] \times [0,1]. \end{split}$$

Then for any $x, y \in [0, 1]$ we have $T(x, y) = z \in [0, 1]$ and for $x, y \in [2, 3]$ we have $T(x, y) = z \in [2, 3]$. Thus, for $x, y \in [0, 1]$, or $x, y \in [2, 3]$, we have

$$d(T(x,y),T(y,z)) = \left|\frac{x+y}{4} - \frac{y+z}{4}\right| = \left|\frac{x-y}{4} + \frac{y-z}{4}\right|$$
$$\leq \left|\frac{x-y}{4}\right| + \left|\frac{y-z}{4}\right| \leq \frac{1}{2}\max\{d(x,y), \ d(y,z)\}$$

For $(x, y) \in [0, 1] \times [2, 3]$, or $(x, y) \in [2, 3] \times [0, 1]$ we have $T(x, y) = z \in [0, 1]$. Therefore, if $y \in [2, 3]$, then

$$d(T(x,y),T(y,z)) = \left|\frac{x+y}{4} - \frac{y+z}{4}\right| \le \frac{1}{2}\max\{d(x,y), \ d(y,z)\}.$$

If $y \in [0, 1]$, then

$$\begin{split} d(T(x,y),T(y,z)) &= |\frac{x+y}{4} - \frac{1}{2} - \frac{y+z}{4}| = |\frac{x-y}{4} - \frac{1}{2} + \frac{y-z}{4}| \\ &\leq |\frac{x-y}{4} - \frac{1}{2}| + |\frac{y-z}{4}| < |\frac{x-y}{4}| + |\frac{y-z}{4}| \\ &\leq \frac{1}{2} \max\{d(x,y), \ d(y,z)\}. \end{split}$$

Thus, T satisfies (2.1) with $\lambda = 1/2$, but for x = 0 and y = 2 we have T(0,0) = 0 and T(2,2) = 2.

3. Applications

We shall illustrate an application of Theorem 2 to the convergence problem of real sequences.

Let $\{x_n\}_1^\infty$ be a real sequence, x_1, \ldots, x_k be a given its k members and let x_n , for $n \ge k+1$, be defined by a recursive relation:

$$x_n = \rho(x_{n-k}, x_{n-k+1}, \dots, x_{n-1}).$$

To investigate the convergence of $\{x_n\}_1^\infty$, it suffices to substitute T for ρ in a recursive relation assuming earlier that $T : \mathbb{R}^k \to \mathbb{R}$. If we find that T satisfies (2.1), then the convergence of $\{x_n\}_1^\infty$ will follow from Theorem 2.

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