

On the Finite Field Nullstellensatz for the intersection of two quadric hypersurfaces

Edoardo Ballico*

(Communicated by G. Korchmáros)

1 Introduction

Let p be a prime and \mathbb{K} the algebraic closure of the finite field $\text{GF}(p)$. We will always work in characteristic p and consider \mathbb{P}^n as a scheme over $\text{GF}(p)$. Let X be an algebraic scheme defined over a finite field $\text{GF}(p^e)$. $X(\mathbb{K})$ will denote the set of all \mathbb{K} -points of X . For every power q of p with $q \geq p^e$ let $X(q)$ denote the set of all $\text{GF}(q)$ -points of X . Hence $X(q) \subseteq X(q')$ if q, q' are p -powers and $q' \geq q \geq p^e$. $X(\mathbb{K})$ is the union of all $X(q)$, $q \gg 0$ and q a p -power. If X is reduced, then the scheme X is uniquely determined by the algebraic variety $X(\mathbb{K})$ in the sense of Serre (Hilbert Nullstellensatz). If X is not a zero-dimensional scheme, then $X(\mathbb{K})$ is infinite. We fix a p -power q with $q \geq p^e$ and we would like to see up to what order the finite set $X(q)$ determines the infinite set $X(\mathbb{K})$.

Now assume that X is projective and that it is equipped with an embedding $X \subset \mathbb{P}^N$ defined over $\text{GF}(q)$. Let k be an integer. We say that the pair $(X, X(q))$ satisfies the Finite Field Nullstellensatz of order k (or just that $FFN(k)$ is true for X and $X(q)$) if every homogeneous form of degree $\leq k$ on $\mathbb{P}^N(\mathbb{K})$ vanishing on $X(q)$ vanishes on $X(\mathbb{K})$. Choose homogeneous coordinates z_0, \dots, z_N on \mathbb{P}^N . The set $\text{PG}(N, q)$ is the union of $q + 1$ hyperplanes; for instance take the hyperplanes $z_0 = cz_N$, $c \in \text{GF}(q)$, and the hyperplane $z_N = 0$. Hence if $X(\mathbb{K}) \neq X(q)$ (and in particular if $\dim(X) > 0$), then the pair $(X, X(q))$ does not satisfy $FFN(q + 1)$. A. Blokhuis and G. E. Moorhouse proved $FFN(q - 1)$ for an elliptic quadric surface, $FFN(q)$ for a hyperbolic quadric surface and $FFN(q)$ for a smooth quadric hypersurface of $\text{PG}(n, q)$, $q \geq 4$ [1]. G. E. Moorhouse proved $FFN(q)$ for Hermitian varieties, q a square [5, Theorem 4.1], and $FFN(q - 1)$ for Grassmann varieties [6, §4]. Here we consider the case of the intersection of two quadric hypersurfaces and prove the following result.

Theorem. *Fix an integer $N \geq 7$. Let q be a power of p and assume $q \geq 6$. Take two linearly independent quadric hypersurfaces Q_1, Q_2 of \mathbb{P}^N defined over $\text{GF}(q)$ and set*

*This research was partially supported by MURST (Italy)

$Y := Q_1 \cap Q_2$ (the scheme-theoretic intersection). Then $Y(q) \neq \emptyset$. Let U be the linear subspace of \mathbb{P}^N spanned by $Y(q)$. U is defined over $\text{GF}(q)$. Set $X := Y \cap U$ (the scheme-theoretic intersection). Then $X(q) = Y(q)$ and for every $P \in Y(q)$ there is a line $D \subset X$ defined over $\text{GF}(q)$ with $P \in D$. The pair $(X, X(q))$ satisfies $\text{FFN}([(q-1)/4])$.

Notice that since the line D in the statement of the Theorem is defined over $\text{GF}(q)$, we have $\text{card } D(q) = q + 1$. Easy examples show that in general the pair $(Y, Y(q))$ does not satisfy $\text{FFN}(1)$ (see Remark 5). To get $\text{FFN}(1)$ for the pair $(Y, Y(q))$ one should add some assumption and we prefer to avoid to do that; this is the reason for our formulation of the Theorem. We conjecture that if $n \gg 0$, $n := \dim(U)$, then the pair $(X, X(q))$ satisfies $\text{FFN}(q)$. For our proof of $\text{FFN}([(q-1)/4])$ the existence of $\text{GF}(q)$ -lines through each $\text{GF}(q)$ -point is very important. We conjecture that similar results are true for the intersection of s quadric hypersurfaces, i.e. we conjecture the existence of an integer $a(s)$ such that if $n \geq a(s)$, calling Y the intersection of s nice quadric hypersurfaces of $\mathbb{P}^n(\mathbb{K})$ defined over $\text{GF}(q)$, then the pair $(Y, Y(q))$ satisfies $\text{FFN}(q)$. However, we believe that niceness of the quadrics should be a very restrictive assumption.

2 Proof of the theorem

Remark 1. Recall that by the Chevalley–Warning theorem a finite field is C_1 [2, p. 11]. Since $N > 4$, by a theorem of Nagata and Lang which extends the Chevalley–Warning theorem [2, Theorem 3.4] the quadrics Q_1 and Q_2 have a common point over $\text{GF}(q)$, i.e. the scheme defined by $Q_1(\mathbb{K}) \cap Q_2(\mathbb{K})$ has a $\text{GF}(q)$ -point.

Remark 2. Let Z be any projective scheme defined over $\text{GF}(q)$. The scheme Z_{red} is a subscheme of Z invariant for the natural action of the Galois group of the extension $\mathbb{K}/\text{GF}(q)$. Since $\text{GF}(q)$ is a perfect field, this implies that Z_{red} is defined over $\text{GF}(q)$. We have $Z(\mathbb{K}) = Z_{\text{red}}(\mathbb{K})$ and $Z(q) = Z_{\text{red}}(q)$.

Remark 3. Fix a p -power $q' \geq q$ and let G be the Galois group of the extension $\text{GF}(q')/\text{GF}(q)$. Let A be a reduced projective scheme defined over $\text{GF}(q)$ and assume that over $\text{GF}(q')$ the scheme A is the union of s subschemes A_1, \dots, A_s , none of which is decomposable over $\text{GF}(q')$. Then G acts as a permutation group on $\{1, \dots, s\}$ permuting A_1, \dots, A_s . The scheme A_i is invariant by this action of G if and only if A_i is defined over $\text{GF}(q)$. For any $g \in G$ and any component A_i the varieties $g(A_i)$ and A_i are isomorphic over \mathbb{K} . In particular we have $\dim(g(A_i)) = \dim(A_i)$ and $\deg(g(A_i)) = \deg(A_i)$. Hence if $(\dim(A_1), \deg(A_1)) \neq (\dim(A_i), \deg(A_i))$ for every $i > 1$, then A_1 is defined over $\text{GF}(q)$.

Remark 4. We use the notation of Remark 3. If $P \in A(q)$ we have $g(P) = P$ for every $g \in G$. Hence if $P \in A_1$ we have $P \in g(A_1)$ for every $g \in G$. In particular if P is a smooth point of A , then $g(A_1) = A_1$ for every $g \in G$, i.e. A_1 is defined over $\text{GF}(q)$. Since a line is uniquely determined by two of its points, if A_1 is a line containing two

different points of $A(q)$, then A_1 is defined over $\text{GF}(q)$ and hence $\text{card } A_1(q) = q + 1$. Similarly, if A_1 is a smooth conic containing at least 3 points of $A(q)$ and no other component of A is contained in the plane $\langle A_1 \rangle$ spanned by A_1 , then A_1 is defined over $\text{GF}(q)$ and hence $\text{card } A_1(q) = q + 1$.

We separate here one step of the proof of the Theorem, because it may be useful for attacking the conjecture on the intersection of s quadrics. In each case or subcase considered we are able to identify $\langle (X \cap M')(q) \rangle$ and to give a large integer k such that the pair $(X \cap M', (X \cap M')(q))$ satisfies $FFN(k)$ seeing $X \cap M'$ as a subscheme of $\langle (X \cap M')_{\text{red}} \rangle$. In most cases the integer k we found is obviously the best possible one, i.e. $FFN(k + 1)$ fails.

Preliminary steps for the proof of the Theorem. Let $M(q) \subset \text{PG}(n, q)$ be a 3-dimensional linear space. Call $M(\mathbb{K})$ the 3-dimensional linear subspace of $\mathbb{P}^n(\mathbb{K})$ spanned by the finite set $M(q)$ and M' the associated scheme. Hence $M'(\mathbb{K}) = M(\mathbb{K})$ and $M'(q) = M(q)$. Set $W := (M' \cap X)_{\text{red}}$. Since X and M are defined over $\text{GF}(q)$, W is defined over $\text{GF}(q)$ (Remark 2). We have $W \neq \emptyset$, because $W(\mathbb{K}) \neq \emptyset$. We fix an integer $k \leq q$ and a homogeneous form F of degree k defined over $\text{GF}(q)$ and vanishing on $X(q)$. We distinguish 7 cases and divide some of them into several subcases.

(a) $W = M'$. Hence $W(q) = M(q)$, $\langle W(q) \rangle = M'(\mathbb{K})$. Since $\deg(F) = k \leq q$ and F vanishes at each point of $M(q)$, $F|_{M'(\mathbb{K})} \equiv 0$.

(b) Here we assume that W is a quadric surface cone, say with vertex P and the smooth plane conic C defined over $\text{GF}(q)$ as a base. We have $P \in \text{PG}(3, q)$. If C has no $\text{GF}(q)$ -point, then $W(q) = \{P\}$ and hence $\langle W(q) \rangle = \{P\}$, while $\langle W(\mathbb{K}) \rangle = M'$. Now assume $C(q) \neq \emptyset$. Hence $\text{card } C(q) = q + 1$, $\text{card } W(q) = 1 + q + q^2$, $\langle W(q) \rangle = M'$ and if $k \leq q/2$ we have $F|_{W(\mathbb{K})} \equiv 0$.

(c) Here we assume that W is a reducible quadric surface, say $W = A \cup B$ with A and B planes. If the two planes A and B are not defined over $\text{GF}(q)$, then only the line $A \cap B$ is defined over $\text{GF}(q)$ and hence $W(q) = (A \cap B)(q)$, $\text{card } W(q) = q + 1$ and $\langle W(q) \rangle = A \cap B$. Hence if $W(q)$ is not contained in a line, A and B are defined over $\text{GF}(q)$ and $W(q) = A(q) \cup B(q)$, $\langle W(q) \rangle = M'$ and $\text{card } W(q) = 2(q^2 + q + 1) - q - 1$. Since $k \leq q$, we obtain $F|_{W(\mathbb{K})} \equiv 0$ if $W(q)$ is not contained in a line.

(d) Here we assume that W is a plane. We have $\langle W(q) \rangle = \langle W(\mathbb{K}) \rangle$. Since $k \leq q$, we have $F|_{W(\mathbb{K})} \equiv 0$.

(e) Here we assume that W is the disjoint union of a plane A and a non-empty union B of points and curves. Since two quadric surfaces containing A intersect in the union of A plus a line (perhaps contained in A), B is a line. By the last part of Remark 3 both A and B are defined over $\text{GF}(q)$. Hence we have $\langle W(q) \rangle = \langle W(\mathbb{K}) \rangle$ and $F|_{W(\mathbb{K})} \equiv 0$.

(f) From now on, we assume that W has pure dimension one. By the Bezout theorem we have $1 \leq \deg(W) \leq 4$ and if $\deg(W) = 4$, then W is a reduced complete intersection of two quadric surfaces. In particular W has at most 4 irreducible components. Let A be an irreducible component of W defined over $\text{GF}(q)$. If $\deg(A) = 1$ we have $\text{card } A(q) = q + 1$. Since $k \leq q$ we have $F|_{A(\mathbb{K})} \equiv 0$. Now assume $\deg(A) = 2$. By [4, pp. 3 and 4] either $A(q) = \emptyset$ or $\text{card } A(q) = q + 1$. If $A(q) = \emptyset$,

we cannot say anything; however, this case will not arise in the proof of the Theorem, because we will always meet a case with $A(q) \neq \emptyset$. If $\text{card } A(q) = q + 1$ we obtain $F|A(\mathbb{K}) \equiv 0$ when $k \leq q/2$. Now assume $\text{deg}(A) = 3$. Since A is contained in the intersection of two quadric surfaces and W does not contain a plane, A spans M' . Hence A is a rational normal curve of M' and we have $A(\mathbb{K}) \cong \mathbb{P}^1(\mathbb{K})$. The canonical line bundle of a smooth projective curve defined over any field K is defined over K . In particular the canonical line bundle of A is defined over $\text{GF}(q)$. The canonical divisor of \mathbb{P}^1 has degree -2 , i.e. even degree, while $3 = \text{deg}(A)$ is odd. Hence there is a degree one line bundle on A defined over $\text{GF}(q)$. This implies that A is isomorphic to \mathbb{P}^1 over $\text{GF}(q)$. In particular we have $\text{card } A(q) = q + 1$. Hence $F|W(\mathbb{K}) \equiv 0$ if $3k \leq q$. Now assume $\text{deg}(A) = 4$. Hence $A = W$, $p_a(A) = 1$ and A is the complete intersection of two quadrics. First assume A singular. Since $p_a(A) = 1$, we have $\text{card}(\text{Sing}(A)) = 1$, the normalization A' of A is isomorphic to \mathbb{P}^1 over \mathbb{K} and A has either an ordinary node or an ordinary cusp. The curve A' is defined over $\text{GF}(q)$ by the universal property of the normalization. If A has a cusp, then the counter-image of $\text{Sing}(A)$ in A' is a unique point of A' and hence it is defined over $\text{GF}(q)$; we have $q + 1 = \text{card } A'(q) = \text{card } A(q)$ and hence $F|A(\mathbb{K}) \equiv 0$ if $4k \leq q$. Now assume that A has an ordinary node. If $A_{\text{red}}(q) \neq \emptyset$, then $A'(q) \neq \emptyset$, i.e. A' is isomorphic to \mathbb{P}^1 over $\text{GF}(q)$. Hence $\text{card } A'(q) = q + 1$ and $\text{card } A(q) = q$. Since $\text{deg}(A) = 4$, we have $F|A(\mathbb{K}) \equiv 0$ if $4k < q$ by the Bezout theorem.

(g) Now we assume the existence of an irreducible component B of W not defined over $\text{GF}(q)$. Since W has pure dimension one and $\text{deg}(W) \leq 4$, we have $\text{deg}(B) \leq 2$ by Remark 3. First we consider the case $\text{deg}(B) = 2$. Hence over \mathbb{K} the irreducible curve B is a smooth conic and $W = B \cup B'$ with B' a smooth conic (over \mathbb{K}). Since $\text{deg}(W) = 4$, we have $W = M' \cap X$, i.e. W is the complete intersection of two quadric surfaces. Hence W spans M' , W is connected and $p_a(W) = 1$. In particular we have $1 \leq \text{card}(\text{Sing}(W(\mathbb{K}))) \leq 2$. By Remark 4 this case cannot occur if $\text{card } W(q) \geq 3$. Now assume $\text{deg}(B) = 1$. First assume that W has an irreducible component D with $\text{deg}(D) \geq 2$. Since $\text{deg}(W) < \text{deg}(B) + 2\text{deg}(D)$, D is defined over $\text{GF}(q)$. Hence $\text{card } D(q) = q + 1$ and $\langle D(q) \rangle$ is a plane. By Remark 4 this case cannot occur if $W(q)$ spans M' . Look at $P \in W(q)$ and assume that P is not contained in a component of W defined over $\text{GF}(q)$. Since $M' \cap X$ is the complete intersection of two quadric surfaces, there cannot be 3 components of W containing P , unless every component of W contains P (Remark 4); hence in this subcase we obtain that all the components of W are defined over $\text{GF}(q)$ (Remark 3), contradiction. If P is contained in a unique component of W , then that component is defined over $\text{GF}(q)$ by the first part of Remark 4. Now we assume that P is contained in exactly two components, say B_1 and B_2 , of W , none of them defined over $\text{GF}(q)$. By Remark 3 neither B_1 nor B_2 contain other points of $W(q)$. Since $\langle W(q) \rangle = M'$, we obtain $\text{deg}(W) = 4$ and that the other two components, say A_1 and A_2 , of W are defined over $\text{GF}(q)$. Since W is the complete intersection of two quadric surfaces, W is connected and $p_a(W) = 1$. Since B_1 and B_2 are coplanar and W is the complete intersection of two quadrics, neither A_1 nor A_2 can be contained in the plane $\langle B_1 \cup B_2 \rangle$. The plane $\langle B_1 \cup B_2 \rangle$ is defined over $\text{GF}(q)$ because B_1 and B_2 are exchanged by G . Hence the points $A_i \cap \langle B_1 \cup B_2 \rangle$, $i = 1, 2$, are defined over $\text{GF}(q)$. Since W is connected and $P \in B_1 \cap B_2$, we obtain

that at least one of the lines B_i , $i = 1, 2$, contains two points of $W(q)$ and hence it is defined over $\text{GF}(q)$ (Remark 4). Since the scheme $M' \cap X$ is the complete intersection of two quadric surfaces, we have $h^0(M' \cap X, \mathcal{O}_{M' \cap X}) = 1$ (cf. [3]), i.e. $M' \cap X$ is connected in a very strong sense. In particular $W = (M' \cap X)_{\text{red}}$ cannot be the union of two disjoint lines. Since $\langle W(q) \rangle = M'$, we obtain $\deg(W) \geq 3$. First assume $\deg(W) = 3$. Since $W = (M' \cap X)_{\text{red}}$ and $\deg(M' \cap X) = 4$, the scheme $M' \cap X$ contains one line, D , of W with multiplicity two, while the other two lines of W appear with multiplicity one. Hence D is G -invariant, i.e. it is defined over $\text{GF}(q)$, contradiction. Now assume $\deg(W) = 4$. If $W(q)$ contains a point contained in only one line $D \subseteq W$, then D must be defined over $\text{GF}(q)$, contradiction. Since $\text{card } W(q) \geq 4$ by assumption, we obtain that at least one line of W contains two points of $W(q)$ and hence it is defined over $\text{GF}(q)$ (Remark 4), contradiction.

Proof of the Theorem. We divide the proof into five steps.

Step 1. Since $N \geq 6$, we have $Y(q) \neq \emptyset$ by an extension due to Nagata and Lang of the Chevalley–Warning theorem [2, Theorem 3.4 and p. 11]. Set $n := \dim(U)$. U is defined over $\text{GF}(q)$ because it is spanned by a subset of $\text{PG}(N, q)$. By Remark 2 and the very definitions of U and X , $X(q) = Y(q)$ and $X(q)$ spans U , i.e. the pair $(X, X(q))$ satisfies *FFN*(1) with respect to U . Fix an integer $k \leq q$ and a homogeneous form F of degree k defined over $\text{GF}(q)$ and vanishing on $X(q)$. We call again Q_i the restriction of Q_i to U .

Step 2. Fix $P \in X(q)$. First assume that both Q_1 and Q_2 are singular at P , i.e. that they are cones with vertex P . Fix a hyperplane H of $\langle X \rangle$ defined over $\text{GF}(q)$ (i.e. spanned by a subset of $\text{PG}(n, q)$) with $P \notin H$. Hence $X \cap H$ is defined inside H by two quadratic equations defined over $\text{GF}(q)$. H is the intersection of $\langle X \rangle$ with a hyperplane H' of \mathbb{P}^N defined over $\text{GF}(q)$. Since $\dim(H') = N - 1 > 4$, we have $(X \cap H)(q) \neq \emptyset$ [2, Theorem 3.4 and p. 11]. Fix $O \in (X \cap H)(q)$. The line D spanned by $\{P, O\}$ is defined over $\text{GF}(q)$. Since $O \in Q_1 \cap Q_2$ and Q_1 and Q_2 are cones with vertex P , then $D \subseteq X$, as wanted. Now assume that Q_1 and Q_2 are smooth at P . Let $T_P Q_i(\mathbb{K}) \subseteq \mathbb{P}^N(\mathbb{K})$ (resp. $T_P Q_i(q) \subseteq \mathbb{P}^N(q)$) be the tangent space of Q_i at P . Since Q_i is smooth at P , $T_P Q_i(\mathbb{K})$ and $T_P Q_i(q)$ are hyperplanes and $T_P Q_i(\mathbb{K})$ is spanned by $T_P Q_i(q)$. Set $Z(\mathbb{K}) := T_P Q_1(\mathbb{K}) \cap T_P Q_2(\mathbb{K})$ and $Z(q) := T_P Q_1(q) \cap T_P Q_2(q)$. Hence $Z(\mathbb{K})$ and $Z(q)$ are projective spaces (respectively over \mathbb{K} and over $\text{GF}(q)$) such that $n - 2 \leq \dim Z(\mathbb{K}) = \dim Z(q) \leq n - 1$. We will call Z the corresponding linear subspace of \mathbb{P}^n . Hence $\dim Z = \dim Z(q)$ and Z is generated by $Z(q)$. Since Q_i is smooth at P , $Q_i \cap T_P Q_i$ is the union of all lines contained in Q_i and passing through P . Furthermore, $Q_i(q) \cap T_P Q_i(q)$ is the union of all lines of $\text{GF}(q)$ contained in $Q_i(q)$ and passing through P . Z is the intersection of U with a codimension one or two linear subspace of $\mathbb{P}^N(q)$ defined over $\text{GF}(q)$. Since $N - 2 \geq 4$, we have $(Z \cap X)(q) \neq \emptyset$ [2, Theorem 3.4 and p. 11]. For any $O \in (Z \cap X)(q)$ the line spanned by P and O is the line we were looking for. Now assume that Q_1 is smooth at P but that Q_2 is singular at P . Take a hyperplane H of $T_P Q_1(\mathbb{K})$ defined over $\text{GF}(q)$ with $P \notin H$. Set $Y := X \cap H$. Since X , Z and U are defined over $\text{GF}(q)$, Y is defined over $\text{GF}(q)$. The scheme Y is defined in H by two quadric hypersurfaces. Since $\dim H = N - 2 \geq 4$, we have $Y(q) \neq \emptyset$ [2, Theorem 3.4 and p. 11]. For any

$O \in Y(q)$ the line spanned by P and O is the line we were looking for, because it is contained in $T_P Q_2$, too. In the same way we find the line D if Q_1 is singular at P , but Q_2 is smooth at P .

Step 3. Use the set-up and notation of Step 2. Instead of H (resp. Z) take a hyperplane H_1 (resp. Z_1) of H (resp. Z) defined over $\text{GF}(q)$. Since $N - 3 \geq 4$, we may take $O \in (X \cap H_1)(q)$ (resp. $O \in (X \cap Z_1)(q)$). Hence we obtain that for every $P \in X(q)$ there are several lines (at least three) contained in X , defined over $\text{GF}(q)$ and containing P .

Step 4. Assume the existence of an integer u with $2 \leq u \leq n$ and lines $T_i \subset X$, $1 \leq i \leq u$, defined over $\text{GF}(q)$, such that $T_i \cap T_j \neq \emptyset$ if and only if $|i - j| \leq 1$ and $T_1 \cup \dots \cup T_u$ spans a linear space of dimension u . Assume $k < q/2$. For any integer t with $3 \leq t \leq n$, we define the following assertion $H(t)$:

$H(t)$: There exists a t -dimensional linear subspace M_t of $\mathbb{P}^N(\mathbb{K})$ spanned by a subset of $X(q)$ (and hence defined over $\text{GF}(q)$) such that $F|_{(X \cap M_t)_{\text{red}}}(\mathbb{K}) \equiv 0$.

If $H(n)$ is true, then X satisfies $FFN(k)$. In this step we will prove $H(t)$ for every integer $t \leq u$ taking as M_t the linear span of $T_1 \cup \dots \cup T_u$. First, we use the preliminary step to the proof of the Theorem to check $H(3)$ with $M_3 := \langle T_1 \cup \dots \cup T_3 \rangle$; we use parts (a), (b), (c) and (d) if $X \cap M_3$ contains a surface and part (g) if $\dim(X \cap M_3) = 1$; indeed, since $\text{card } T_1(q) = q + 1$ we avoid the case $W(q) = \{P\}$ in part (b); in case (c) both planes A and B are defined over $\text{GF}(q)$ because $\text{card } T_1 \cup T_2(q) = 2q + 1 > q + 1 = \text{card}(A \cap B)(q)$. Assume $u \geq 4$. We have $\text{card } T_4(q) = q + 1$. For every $P \in T_4(q)$ let $A(P)$ be the hyperplane of M_4 spanned by M_2 and P . M_4 is defined over $\text{GF}(q)$ and $M_4 \cap T_4 = \{P\}$. By the previous step we have $F|_{(X \cap A(P))_{\text{red}}}(\mathbb{K}) \equiv 0$ for every P . Since $A(P) \cap X$ contains P and $P \notin T_1 \cup T_2$, $(X \cap A(P))_{\text{red}}$ is the union of $T_1 \cup T_2$ and at least another curve containing P . Hence $(X \cap M_4)_{\text{red}}$ contains $T_1 \cup T_2$ and at least $q + 1$ other curves, say C_1, \dots, C_{q+1} , such that $F|_{C_i}(\mathbb{K}) \equiv 0$ for every i . If X contains M_4 , then $F|_{M_4}(\mathbb{K}) \equiv 0$ because $\text{PG}(4, q)$ satisfies $FFN(q)$ and $k \leq q$. Hence to prove $H(4)$ using M_4 we may assume that X does not contain M_4 . In order to obtain a contradiction we assume that F does not vanish at some point of $(X \cap M_4)_{\text{red}}(\mathbb{K})$. First assume that $X \cap M_4$ does not contain a hypersurface of M_4 . This is equivalent to assuming that the scheme $X \cap M_4$ is a codimension 2 complete intersection of two quadric hypersurfaces of M_4 . Since $\deg X \cap M_4 = 4$, we have $\deg(X \cap M_4)_{\text{red}} \leq 4$. Call A_i , $1 \leq i \leq s$, the irreducible components of $(X \cap M_4)_{\text{red}}$ defined over \mathbb{K} , not necessarily over $\text{GF}(q)$ of $(X \cap M_4)_{\text{red}}$. Fix an index i . Either $F|_{A_i}(\mathbb{K}) \equiv 0$ or the scheme $\{F = 0\} \cap A_i$ has degree $2 \deg(A_i)$ and hence the scheme $(\{F = 0\} \cap A_i)_{\text{red}}$ has degree at most $2 \deg(A_i)$. Hence if $\{F = 0\}$ does not contain an irreducible component of $(X \cap M_4)_{\text{red}}$, then the sum of all degrees of the curves $T_1, T_2, C_1, \dots, C_{q+1}$ is at most 8. If $q \geq 6$ this is impossible. Now assume that $(X \cap M_4)_{\text{red}}$ has some component of dimension 3, say B_j , $1 \leq j \leq r$, and some component of dimension 2, say A_i , $1 \leq i \leq s$, with $r \geq 1$ and $s \geq 0$. Since $X \cap M_4$ is defined by two quadratic equations, $B_1 \cup \dots \cup B_r$ is either a quadric hypersurface of M_4 (perhaps reducible) or a hyperplane of M_4 . First assume that $X \cap M_4$ is a quadric hypersurface of M_4 . We must have $X \cap M_4 = B_1 \cup \dots \cup B_r$. Since $T_1 \cup T_2 \cup T_3 \cup T_4 \subset X \cap M_4$, B_1 cannot be a cone with vertex a line R and as base a conic without $\text{GF}(q)$ -points, because in this case we would have $\text{card}(X \cap M_4)(q) = \text{card } R(q) =$

$q + 1$; hence we have $H(4)$, because the irreducible quadric hypersurfaces of $\text{PG}(4, q)$ with rank at least 4 satisfies $FFN(q - 1)$. If $X \cap M_4$ is a reducible quadric hypersurface, then both components of $X \cap M_4$ are defined over $\text{GF}(q)$ because $T_1 \cup T_2 \cup T_3 \cup T_4 \subseteq X \cap M_4$ and each line T_i is defined over $\text{GF}(q)$; in this subcase $H(4)$ is true, because every linear space satisfies $FFN(q)$. Now assume that $B_1 \cup \dots \cup B_r$ is a hyperplane. We may also assume $s \geq 1$, otherwise $F \mid (X \cap M_4)_{\text{red}}(\mathbb{K}) \equiv 0$, because a linear space satisfies $FFN(q)$ and B_1 is defined over $\text{GF}(q)$ by Remark 3. Since $X \cap M_4$ is the intersection of two quadric hypersurfaces of M_4 containing B_1 , we have $s = 1$, and A_1 is a plane. Since A_1 is defined over $\text{GF}(q)$ and $k \leq q$, we obtain $F \mid (X \cap M_4)_{\text{red}}(\mathbb{K}) \equiv 0$. Now assume $u \geq 5$. We will prove $H(5)$. For every $P \in T_5(q) \setminus (T_5(q) \cap M_4(q))$, call $A(P)$ the hyperplane spanned by M_4 and P . The previous proof gives $F \mid (X \cap A(P))_{\text{red}}(\mathbb{K}) \equiv 0$. Since $\text{card } T_5(q) \cap M_4(q) = q$ and $2k < q$, we obtain $H(5)$. If $u \geq 6$ we continue in the same way.

Step 5. We are not able to prove that we always may take $u = n$. By Step 2 we may at least take $u \geq 3$. Take the maximal integer u such that there is $T_1 \cup \dots \cup T_u$ and assume $u < n$. Since u is maximal, for every $O \in T_u(q) \setminus T_{u-1}(q)$ every line contained in X and containing O is contained in $\langle T_1 \cup \dots \cup T_u \rangle$. However, to prove $H(t)$ we need the full force of the existence of $T_1 \cup \dots \cup T_u$ only for $u = 3$. In the other cases it is sufficient to take another line $D \subset X$, D defined over $\text{GF}(q)$ and D not contained in $\langle T_1 \cup \dots \cup T_u \rangle$. Such a line exists because $u < n := \dim \langle X(q) \rangle$ and for every $P \in X(q)$ with $P \in \langle T_1 \cup \dots \cup T_u \rangle$ there is a line $D \subset X$, D defined over $\text{GF}(q)$ with $P \in D$ (Step 1). Since the set $D(q)$ contains at least q points not contained in $\langle T_1 \cup \dots \cup T_u \rangle$, the proof of $H(t)$ given in Step 4 works for $t = u + 1$ using either $M_{u+1} = \langle T_1 \cup \dots \cup T_u \cup D \rangle$ if $D \cap \langle T_1 \cup \dots \cup T_u \rangle \neq \emptyset$ or M_{u+1} spanned by $T_1 \cup \dots \cup T_{u-1}$, D and one of the q points of $T_u(q) \setminus T_{u-1}(q)$. Then we continue inductively using at each step a suitable line and adding the new line to the previous configuration of lines (perhaps with several connected components) either q new $\text{GF}(q)$ -points or $q + 1$ new $\text{GF}(q)$ -points and conclude the proof of the Theorem.

Remark 5. Here we show a very trivial case in which $n < N$, i.e. $Y \neq X$ and Y does not satisfy $FFN(1)$. Assume that in the pencil spanned by Q_1 and Q_2 there is a double hyperplane, say Q , with Q_{red} the hyperplane M and, say, $Q \neq Q_1$. For any scheme Z we have $Z(\mathbb{K}) = Z_{\text{red}}(\mathbb{K})$ and in particular $Z(q) = Z_{\text{red}}(q)$. Hence $Y(q) = (M \cap Q_1)(q) \subseteq M(q)$. Notice that this case may occur even if we assume that both Q_1 and Q_2 are smooth.

Remark 6. The existence of multiple components of Y has another drawback. Assume $\dim(Y) = N - 2$, i.e. assume that Q_1 and Q_2 have no common components; for instance if Q_1 is irreducible just assume $Q_1 \neq Q_2$. It may occur that $(Q_1 \cap Q_2)_{\text{red}}$ spans \mathbb{P}^N but that $Q_1 \cap Q_2$ has a multiple component. For instance take a $\text{GF}(q)$ -plane A and an $(N - 3)$ -dimensional linear space V defined over $\text{GF}(q)$ with $A \cap V = \emptyset$. Take two smooth conics C_1 and C_2 in V defined over $\text{GF}(q)$ with $\text{card } C_1 \cap C_2 = 3$, i.e. tangent at exactly one point. Let Q_i be the quadric cone with vertex V and base C_i . Call q_i any homogeneous equation of Q_i . Even if $Q_1 \cap Q_2$ satisfies $FFN(k)$ we may only say that a degree k polynomial vanishing on $Q_1 \cap Q_2(q)$ vanishes at each point

of $(Q_1 \cap Q_2)_{\text{red}}(\mathbb{K})$, not that $F = a_1q_1 + a_2q_2$ with a_i a homogeneous polynomial of degree $k - 2$. The latter is the algebraic form of $FFN(k)$ when $\dim(X) = n - 2$ and X has no multiple component.

References

- [1] A. Blokhuis G. E. Moorhouse: Some p -ranks related to orthogonal spaces. *J. Algebraic Combin.* **4** (1995), 295–316. MR 96g:51011 Zbl 843.51011
- [2] M. J. Greenberg: *Lectures on forms in many variables*. W. A. Benjamin 1969. MR 39 #2698 Zbl 185.08304
- [3] R. Hartshorne: A property of A -sequences. *Bull. Soc. Math. France* **94** (1966), 61–65. MR 35 #181 Zbl 142.28605
- [4] J. W. P. Hirschfeld and J. A. Thas: *General Galois geometries*. Oxford Univ. Press 1991. MR 96m:51007 Zbl 789.51001
- [5] G. E. Moorhouse: Some p -ranks related to Hermitian varieties. *J. Statist. Plann. Inference* **56** (1996), 229–241. MR 98f:51010 Zbl 888.51007
- [6] G. E. Moorhouse: Some p -ranks related to geometric structures. In: *Mostly finite geometries (Iowa City, IA, 1996)*, 353–364, Dekker 1997. MR 98h:51003 Zbl 893.51012

Received 8 January, 2001

E. Ballico, Dept. of Mathematics, University of Trento, 38050 Povo (TN), Italy
 Email: ballico@science.unitn.it