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SOME DISTORTION INEQUALITIES ASSOCIATED WITH THE FRACTIONAL DERIVATIVES OF ANALYTIC AND UNIVALENT FUNCTIONS

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Abstract

For the classes S and K of (normalized) univalent and convex analytic functions, respectively, a number of authors conjectured interesting extensions of certain known distortion inequalities in terms of a fractional derivative operator. While examining and investigating the validity of these conjectures, many subsequent works considered various generalizations of the distortion inequalities relevant to each of these conjectures. The main object of this paper is to give a direct proof of one of the known facts that these conjectures are false. Several further distortion inequalities involving fractional derivatives are also presented.

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Contents

1	Introduction and Definitions	3
2	Main Results Relevant to Conjecture 1	8
3	A Distortion Inequality Involving the Hypergeometric Func-	
	tion	11
References		



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements

H.M. Srivastava, Yi Ling and Gejun Bao



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

1. Introduction and Definitions

Let \mathcal{A} denote the class of functions f(z) normalized by

(1.1)
$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$

which are analytic in the open unit disk

$$\mathcal{U}:=\left\{z:z\in\mathbb{C}\text{ and }|z|<1\right\}.$$

Also, let S and K denote the subclasses of A consisting of functions which are, respectively, univalent and convex in U (see, for details, [4], [5], and [12]).

Geometric Function Theory is the study of the relationship between the analytic properties of f(z) and the geometric properties of the image domain

$$\mathcal{D}=f\left(\mathcal{U}\right)$$
.

An excellent example of this interplay is provided by the following important result which validates a 1916 conjecture of Ludwig Bieberbach (1896-1982):

Theorem 1. de Branges [3]. If the function f(z) given by (1.1) is in the class S, then

(1.2)
$$|a_n| \leq n \quad (n \in \mathbb{N} \setminus \{1\}; \mathbb{N} := \{1, 2, 3, \ldots\}),$$

where the equality holds true for all $n \in \mathbb{N} \setminus \{1\}$ only if f(z) is any rotation of the Koebe function:

(1.3)
$$K(z) := \frac{z}{(1-z)^2} = \sum_{n=1}^{\infty} n z^n \quad (z \in \mathcal{U}).$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

The assertion (1.2) and its *well-known* (rather classical) analogue for the class \mathcal{K} (*cf.*, *e.g.*, [5, p. 117, Theorem 7]) lead us immediately to known distortion inequalities for the *n*th derivative of functions in the classes \mathcal{S} and \mathcal{K} , respectively. Each of the following conjectures, which were made in an attempt to extend these known distortion inequalities for the classes \mathcal{S} and \mathcal{K} , involves the *fractional derivative operator* D_z^{λ} of order λ , defined by (*cf.*, *e.g.*, [7] and [9]) (1.4)

$$D_{z}^{\lambda}f(z) := \begin{cases} \frac{1}{\Gamma(1-\lambda)} \frac{d}{dz} \int_{0}^{z} \frac{f(\zeta)}{(z-\zeta)^{\lambda}} d\zeta & (0 \leq \lambda < 1) \\ \\ \frac{d^{n}}{dz^{n}} D_{z}^{\lambda-n}f(z) & (n \leq \lambda < n+1; \ n \in \mathbb{N}) \end{cases}$$

where the function f(z) is analytic in a simply-connected region of the complex z-plane containing the origin, and the multiplicity of $(z - \zeta)^{-\lambda}$ is removed by requiring $\log (z - \zeta)$ to be real when $z - \zeta > 0$.

Conjecture 1. [8, p. 88]. If the function f(z) is in the class S, then

(1.5)
$$\left| D_{z}^{n+\lambda} f(z) \right| \leq \frac{(n+\lambda+|z|) \Gamma(n+\lambda+1)}{(1-|z|)^{n+\lambda+2}}$$
$$\left(z \in \mathcal{U}; \ n \in \mathbb{N}_{0} := \mathbb{N} \cup \{0\}; \ 0 \leq \lambda < 1 \right),$$

where the equality holds true for the Koebe function K(z) defined by (1.3).



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements

H.M. Srivastava, Yi Ling and Gejun Bao

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J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

Conjecture 2. [10, p. 225]. If the function f(z) is in the class \mathcal{K} , then

(1.6)
$$|D_z^{n+\lambda} f(z)| \leq \frac{\Gamma(n+\lambda+1)}{(1-|z|)^{n+\lambda+1}}$$
$$(z \in \mathcal{U}; \ n \in \mathbb{N}_0; \ 0 \leq \lambda < 1),$$

where the equality holds true for the function L(z) defined by

(1.7)
$$L(z) := \frac{z}{1-z} = \sum_{n=1}^{\infty} z^n \quad (z \in \mathcal{U}).$$

For $\lambda = 0$ and $n \in \mathbb{N}_0$, Conjectures 1 and 2 can easily be validated by means of the aforementioned known distortion inequalities. Each of these conjectures has indeed been proven to be false for $0 < \lambda < 1$ and $n \in \mathbb{N}_0$ (see, for details, [1], [2], and [6]; see also a recent work of Srivastava [11], which presents various *further* developments and generalizations relevant to the aforementioned conjectures). Our main objective in this paper is to give a *direct* proof of the fact that Conjecture 1 is not true for $0 < \lambda < 1$ and $n \in \mathbb{N}_0$. We also derive several further distortion inequalities involving fractional derivatives.

In our present investigation, we shall also make use of the *hypergeometric function* defined by

(1.8)
$$F(a,b;c;z) := \sum_{k=0}^{\infty} \frac{(a)_k (b)_k}{(c)_k} \frac{z^k}{k!}$$
$$(a,b,c \in \mathbb{C}; \ c \notin \mathbb{Z}_0^- := \{0,-1,-2,\ldots\})$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

where $\left(\lambda\right)_k$ denotes the Pochhammer symbol given, in terms of Gamma functions, by

(1.9)
$$(\lambda)_k := \frac{\Gamma(\lambda+k)}{\Gamma(\lambda)} = \begin{cases} 1 & (k=0) \\ \lambda(\lambda+1)\dots(\lambda+k-1) & (k\in\mathbb{N}). \end{cases}$$

The hypergeometric function is analytic in $\ensuremath{\mathcal{U}}$ and

(1.10)
$$F(a,b;c;z) = F(b,a;c;z).$$

Furthermore, it possesses the following integral representation:

(1.11)
$$F(a,b;c;z) = \frac{\Gamma(c)}{\Gamma(b)\Gamma(c-b)} \int_0^1 t^{b-1} (1-t)^{c-b-1} (1-zt)^{-a} dt$$
$$(\Re(c) > \Re(b) > 0; \ |\arg(1-z)| \le \pi - \varepsilon; \ 0 < \varepsilon < \pi).$$

It is easily seen from the definition (1.4) that

(1.12)
$$D_z^{\lambda} \{ z^{\mu-1} \} = \frac{\Gamma(\mu)}{\Gamma(\mu-\lambda)} z^{\mu-\lambda-1} \quad (0 \le \lambda < 1; \ \mu > 0),$$

so that

(1.13)
$$D_z^{\lambda} \left\{ z^{\mu-1} \left(1-z \right)^{-\nu} \right\} = \frac{\Gamma\left(\mu\right)}{\Gamma\left(\mu-\lambda\right)} z^{\mu-\lambda-1} F\left(\mu,\nu;\mu-\lambda;z\right)$$
$$\left(0 \le \lambda < 1; \ \mu > 0; \ \nu \in \mathbb{R}; \ z \in \mathcal{U} \right).$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

Thus, for the extremal functions K(z) and L(z) defined by (1.3) and (1.7), respectively, by suitably further specializing the fractional derivative formula (1.13) with $\mu = 2$, we obtain

(1.14)
$$D_{z}^{\lambda}K(z) = \frac{z^{1-\lambda}}{\Gamma(2-\lambda)}F(2,2;2-\lambda;z)$$
$$(0 \leq \lambda < 1; \ z \in \mathcal{U})$$

and (*cf*. [6])

(1.15)
$$D_z^{\lambda} L(z) = \frac{z^{1-\lambda}}{\Gamma(2-\lambda)} F(2,1;2-\lambda;z)$$
$$(0 \leq \lambda < 1; z \in \mathcal{U}).$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

2. Main Results Relevant to Conjecture 1

We begin by proving

Theorem 2. Let $0 < \lambda < 1$. Then Conjecture 1 is not true for $n \in \mathbb{N}$.

Proof. For $L(z) \in S$, it follows from (1.15) and the definition (1.8) that

(2.1)
$$D_z^{\lambda} L(z) = z^{-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda+1)} z^k$$
$$(0 < \lambda < 1; \ z \in \mathcal{U} \setminus \{0\}),$$

where $z^{-\lambda}$ is analytic in $\mathcal{U} \setminus \{0\}$ and the multiplicity of $z^{-\lambda}$ is removed by requiring $\log z$ to be real when z > 0. Thus, by the definition (1.4), we have

(2.2)

$$D_{z}^{1+\lambda}L(z) = (z^{-\lambda})' \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda+1)} z^{k} + z^{-\lambda} \left(\sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda)} z^{k} \right)'$$

$$= z^{-1-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda)} z^{k} \quad (0 < \lambda < 1; \ z \in \mathcal{U} \setminus \{0\}).$$

By the principle of mathematical induction, it can be shown by using (2.2) that

(2.3)
$$D_z^{n+\lambda}L(z) = z^{-n-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda-n+1)} z^k$$
$$= \frac{z^{1-n-\lambda}}{\Gamma(2-n-\lambda)} F(2,1;2-n-\lambda;z)$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

$$(0 < \lambda < 1; n \in \mathbb{N}; z \in \mathcal{U} \setminus \{0\}).$$

Upon setting $z = r \ (0 < r < 1)$ in (2.3), if we let $r \to 0$, it is easily seen that

(2.4)
$$D_z^{n+\lambda}L(z)\Big|_{z=r} \to \infty \quad (r \to 0; \ 0 < \lambda < 1; \ n \in \mathbb{N}).$$

On the other hand, if Conjecture 1 is true, the claimed assertion (1.5) readily yields

(2.5)
$$\left| D_{z}^{n+\lambda}L(z) \right| \leq M(n;\lambda) \quad \left(|z| \to 0; \ 0 < \lambda < 1; \ n \in \mathbb{N} \right),$$

where $M(n; \lambda)$ is a *(finite)* constant depending only on n and λ . This contradiction with (2.4) evidently completes the proof of Theorem 2.

Next we prove

Theorem 3. Let the function f(z) be in the class S. Then

(2.6)
$$\left| D_{z}^{\lambda} f(z) \right| \leq \frac{r^{1-\lambda}}{\Gamma(1-\lambda)} \int_{0}^{1} \frac{1+rt}{(1-t)^{\lambda} (1-rt)^{3}} dt$$
$$(r = |z|; \ z \in \mathcal{U}; \ 0 < \lambda < 1),$$

where the equality holds true for the Koebe function K(z) given by (1.3).

Proof. Suppose that the function $f(z) \in S$ is given by (1.1). Then, by using (1.12) in conjunction with (1.1), we obtain

(2.7)
$$D_z^{\lambda} f(z) = z^{-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda+1)} a_k z^k$$
$$(a_1 := 1; \ 0 < \lambda < 1; \ z \in \mathcal{U}),$$



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

where the multiplicity of $z^{-\lambda}$ is removed as in Theorem 2.

By applying the assertion (1.2) of Theorem 1 on the right-hand side of (2.7), we have

(2.8)

$$\begin{aligned} \left| D_{z}^{\lambda} f\left(z\right) \right| &\leq r^{-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma\left(k+1\right)}{\Gamma\left(k-\lambda+1\right)} \, kr^{k} \\ &= \frac{r^{1-\lambda}}{\Gamma\left(2-\lambda\right)} \sum_{k=0}^{\infty} \frac{\left(2\right)_{k}\left(1\right)_{k}}{\left(2-\lambda\right)_{k}} \, \frac{\left(k+1\right)r^{k}}{k!} \\ &= \frac{r^{1-\lambda}}{\Gamma\left(2-\lambda\right)} \left(rF\left(2,1;2-\lambda;r\right)\right)' \\ &\left(r = \left|z\right|; \, z \in \mathcal{U}; \, 0 < \lambda < 1\right). \end{aligned}$$

Since $0<1<2-\lambda$ $(0<\lambda<1)$, we can make use of the integral representation (1.11), and we thus find that

(2.9)
$$(rF(2,1;2-\lambda;r))' = (1-\lambda) \int_0^1 \frac{1+rt}{(1-t)^{\lambda} (1-rt)^3} dt,$$

which, when substituted for in (2.8), immediately yields the assertion (2.6) of Theorem 3.

Finally, by taking the Koebe function K(z) for f(z) in (2.6), we can see that the result is sharp.

Remark 1. Theorem 3 can also be deduced by applying the case n = 0 of a known result due to Cho *et al.* [2, p. 120, Theorem 3].

Remark 2. By comparing the assertions (2.6) and (1.5) with n = 0, it readily follows that Conjecture 1 is not true also when n = 0 and $0 < \lambda < 1$.



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

3. A Distortion Inequality Involving the Hypergeometric Function

In this section, we prove a distortion inequality involving the hypergeometric function, which is given by

Theorem 4. Let the function f(z) be in the class S. Then

(3.1)
$$\left| D_z^{1+\lambda} f(z) \right| \leq \frac{r^{-\lambda}}{\Gamma(1-\lambda)} \left(rF(2,1;1-\lambda;r) \right)'$$
$$(r = |z|; \ z \in \mathcal{U} \setminus \{0\}; \ 0 < \lambda < 1),$$

where the equality holds true for the Koebe function K(z) given by (1.3).

Proof. For the function $f(z) \in S$ given by (1.1), it follows from (2.7) and the definition (1.4) that

(3.2)
$$D_z^{1+\lambda} f(z) = z^{-1-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda)} a_k z^k$$
$$(a_1 := 1; \ 0 < \lambda < 1; \ z \in \mathcal{U} \setminus \{0\}),$$

since $z^{-\lambda}$ is analytic in $\mathcal{U} \setminus \{0\}$.

Applying the assertion (1.2) of Theorem 1 once again, we find from (3.2)



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

that

$$|D_{z}^{1+\lambda}f(z)| \leq r^{-1-\lambda} \sum_{k=1}^{\infty} \frac{\Gamma(k+1)}{\Gamma(k-\lambda)} kr^{k}$$

$$= \frac{r^{-\lambda}}{\Gamma(1-\lambda)} \sum_{k=0}^{\infty} \frac{(2)_{k}(1)_{k}}{(1-\lambda)_{k}} \frac{(k+1)r^{k}}{k!}$$

$$= \frac{r^{-\lambda}}{\Gamma(1-\lambda)} (rF(2,1;1-\lambda;r))'$$

$$(r = |z|; z \in \mathcal{U} \setminus \{0\}; 0 < \lambda < 1),$$

which proves the inequality (3.1).

By taking the Koebe function K(z) for f(z) in (3.1), we thus complete our direct proof of Theorem 4.

Remark 3. The assertion (3.1) of Theorem 4 can also be proven by appealing to the case n = 1 of the aforementioned known result due to Cho *et al.* [2, p. 120, Theorem 3].



Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

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Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au

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Improved Inclusion-Exclusion Inequalities for Simplex and Orthant Arrangements



J. Ineq. Pure and Appl. Math. 2(2) Art. 23, 2001 http://jipam.vu.edu.au