SUPERQUADRACITY OF FUNCTIONS AND REARRANGEMENTS OF SETS

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Abstract: In this paper we establish upper bounds of

$$\sum_{i=1}^{n} \left(f\left(\frac{x_i + x_{i+1}}{2}\right) + f\left(\frac{|x_i - x_{i+1}|}{2}\right) \right), \quad x_{n+1} = x_1$$

when the function f is superquadratic and the set $(\mathbf{x}) = (x_1, \dots, x_n)$ is given except its arrangement.



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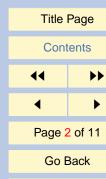
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1. Introduction

We start with the definitions and results of [1] and [5] which we use in this paper.

Definition 1.1. The sets $(\mathbf{y}^-) = (y_1^-, \dots, y_n^-)$ and $(^-\mathbf{y}) = (^-y_1, \dots, ^-y_n)$ are symmetrically decreasing rearrangements of an ordered set $(\mathbf{y}) = (y_1, \dots, y_n)$ of n real numbers, if

$$(1.1) y_1^- \le y_n^- \le y_2^- \le \dots \le y_{\left\lceil \frac{n+2}{2} \right\rceil}^-$$

and

$$(1.2) y_n \le y_1 \le y_{n-1} \le \cdots \le y_{\left[\frac{n+1}{2}\right]}.$$

A circular rearrangement of an ordered set $(\mathbf{y}) = (y_1, \dots, y_n)$ is a cyclic rearrangement of (\mathbf{y}) or a cyclic rearrangement followed by inversion.

Definition 1.2. An ordered set $(\mathbf{y}) = (y_1, \dots, y_n)$ of n real numbers is arranged in circular symmetric order if one of its circular rearrangements is symmetrically decreasing.

Theorem A ([1]). Let F(u,v) be a symmetric function defined for $\alpha \leq u,v \leq \beta$ for which $\frac{\partial^2 F(u,v)}{\partial u \partial v} \geq 0$.

Let the set $(\mathbf{y}) = (y_1, \dots, y_n)$, $\alpha \leq y_i \leq \beta$, $i = 1, \dots, n$ be given except its arrangement. Then

$$\sum_{i=1}^{n} F(y_i, y_{i+1}), \qquad (y_{n+1} = y_1)$$

is maximal if (y) is arranged in circular symmetrical order.



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Definition 1.3 ([5]). A function f, defined on an interval I = [0, L] or $[0, \infty)$ is superquadratic, if for each x in I, there exists a real number C(x) such that

$$f(y) - f(x) \ge C(x)(y - x) + f(|y - x|)$$

for all $y \in I$.

A function is subquadratic if -f is superquadratic.

Lemma A ([5]). Let f be a superquadratic function with C(x) as in Definition 1.3.

- (i) Then $f(0) \le 0$.
- (ii) If f(0) = f'(0) = 0, then C(x) = f'(x) whenever f is differentiable.
- (iii) If $f \ge 0$, then f is convex and f(0) = f'(0) = 0.

The following lemma presents a Jensen's type inequality for superquadratic functions.

Lemma B ([6, Lemma 2.3]). Suppose that f is superquadratic. Let $x_r \ge 0$, $1 \le r \le n$ and let $\overline{x} = \sum_{r=1}^n \lambda_r x_r$, where $\lambda_r \ge 0$, and $\sum_{r=1}^n \lambda_r = 1$. Then

$$\sum_{r=1}^{n} \lambda_r f(x_r) \ge f(\overline{x}) + \sum_{r=1}^{n} \lambda_r f(|x_r - \overline{x}|).$$

If f(x) is subquadratic, the reverse inequality holds.

From Lemma B we get an immediate result which we state in the following lemma.



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Lemma C. Let f(x) be superquadratic on [0, L] and let $x, y \in [0, L]$, $0 \le \lambda \le 1$, then

$$\begin{split} \lambda f\left(x\right) + \left(1 - \lambda\right) f\left(y\right) \\ & \geq f\left(\lambda x + (1 - \lambda) y\right) + \lambda f\left((1 - \lambda) |y - x|\right) + (1 - \lambda) f\left(\lambda |y - x|\right) \\ & \geq f\left(\lambda x + (1 - \lambda) y\right) + \sum_{k=0}^{t-1} \left(f\left(2\lambda (1 - \lambda) |1 - 2\lambda|^{k} |x - y|\right)\right) \\ & + \lambda f\left((1 - \lambda) |1 - 2\lambda|^{t} |x - y|\right) + (1 - \lambda) f\left(\lambda |1 - 2\lambda|^{t} |x - y|\right). \end{split}$$

If f is positive superquadratic we get that:

$$\lambda f(x) + (1 - \lambda) f(y) \ge f(\lambda x + (1 - \lambda) y) + \sum_{k=0}^{t-1} \left(f(2\lambda (1 - \lambda) |1 - 2\lambda|^k |x - y|) \right)$$

More results related to superquadracity were discussed in [2] to [6].

In this paper we refine the results in [7] by showing that for positive superquadratic functions we get better bounds than in [7].

Theorem B ([7, Thm. 1.2]). If f is a convex function and x_1, x_2, \ldots, x_n lie in its domain, then

$$\sum_{i=1}^{n} f(x_i) - f\left(\frac{x_1 + \dots + x_n}{n}\right)$$

$$\geq \frac{n-1}{n} \left[f\left(\frac{x_1 + x_2}{2}\right) + \dots + f\left(\frac{x_{n-1} + x_n}{2}\right) + f\left(\frac{x_n + x_1}{2}\right) \right].$$



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Theorem C ([7, Thm. 1.4]). If f is a convex function and a_1, \ldots, a_n lie in its domain, then

$$(n-1)[f(b_1) + \cdots + f(b_n)] \le n[f(a_1) + \cdots + f(a_n) - f(a)],$$

where
$$a = \frac{a_1 + \dots + a_n}{n}$$
 and $b_i = \frac{na - a_i}{n - 1}, i = 1, \dots, n$.



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2. The Main Results

Theorem 2.1. Let f(x) be a superquadratic function on [0, L]. Then for $x_i \in [0, L]$, i = 1, ..., n, where $x_{n+1} = x_1$,

$$(2.1) \quad \frac{n-1}{n} \sum_{i=1}^{n} \left(f\left(\sum_{i=1}^{n} \frac{x_i + x_{i+1}}{2}\right) + f\left(\sum_{i=1}^{n} \frac{|x_i - x_{i+1}|}{2}\right) \right) \\ \leq \left(\sum_{i=1}^{n} f(x_i)\right) - f\left(\sum_{i=1}^{n} \frac{x_i}{n}\right) - \frac{1}{n} \sum_{i=1}^{n} f\left(\left|x_i - \sum_{j=1}^{n} \frac{x_j}{n}\right|\right)$$

holds. If $f'''(x) \ge 0$ too, then

$$(2.2) \quad \frac{n-1}{n} \sum_{i=1}^{n} \left(f\left(\sum_{i=1}^{n} \frac{x_{i} + x_{i+1}}{2}\right) + f\left(\sum_{i=1}^{n} \frac{|x_{i} - x_{i+1}|}{2}\right) \right) \\ \leq \frac{n-1}{n} \sum_{i=1}^{n} \left(f\left(\frac{\widehat{x}_{i} + \widehat{x}_{i+1}}{2}\right) + f\left(\frac{|\widehat{x}_{i} - \widehat{x}_{i+1}|}{2}\right) \right) \\ \leq \left(\sum_{i=1}^{n} f(x_{i})\right) - f\left(\sum_{i=1}^{n} \frac{x_{i}}{n}\right) - \frac{1}{n} \sum_{i=1}^{n} f\left(\left|x_{i} - \sum_{j=1}^{n} \frac{x_{j}}{n}\right|\right),$$

where $(\widehat{\mathbf{x}}) = (\widehat{x}_1, \dots, \widehat{x}_n)$ is a circular symmetrical rearrangement of $(\mathbf{x}) = (x_1, \dots, x_n)$.

Example 2.1. The functions

$$f(x) = x^n, \qquad n \ge 2, \qquad x \ge 0,$$

and the function

$$f(x) = \begin{cases} x^2 \log x, & x > 0, \\ 0, & x = 0 \end{cases}$$



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are superquadratic with an increasing second derivative and therefore (2.2) holds for these functions.

Proof. Let f be a superquadratic function on [0, L]. Then by Lemma B we get for $0 \le \alpha \le 1$, $1 \le k \le n$ and $x_i \in [0, L]$, $x_{n+1} = x_1$,

$$(2.3) \sum_{i=1}^{n} f(x_{i})$$

$$= \frac{n-k}{n} \sum_{i=1}^{n} f(x_{i}) + \frac{k}{n} \sum_{i=1}^{n} f(x_{i})$$

$$= \frac{n-k}{n} \sum_{i=1}^{n} (\alpha f(x_{i}) + (1-\alpha) f(x_{i+1})) + \frac{k}{n} \sum_{i=1}^{n} f(x_{i})$$

$$\geq \frac{n-k}{n} \sum_{i=1}^{n} f(\alpha x_{i} + (1-\alpha) x_{i+1})$$

$$+ \frac{n-k}{n} \sum_{i=1}^{n} (\alpha f((1-\alpha) |x_{i+1} - x_{i}|) + (1-\alpha) f(\alpha |x_{i+1} - x_{i}|))$$

$$+ k \left(f\left(\frac{\sum_{i=1}^{n} x_{i}}{n}\right) + \sum_{i=1}^{n} \frac{1}{n} f\left(|x_{i} - \frac{\sum_{i=1}^{n} x_{i}}{n}|\right) \right).$$

For k = 1 and $\alpha = \frac{1}{2}$ we get that (2.1) holds.

If $f'''(x) \ge 0$, then $\frac{\partial^2 F(u,v)}{\partial u \partial v} \ge 0$, where

$$F\left(u,v\right)=f\left(u+v\right)+f\left(\left|u-v\right|\right),\qquad u,v\in\left[0,L\right].$$



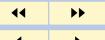
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Therefore according to Theorem A, the sum

$$\sum_{i=1}^{n} f\left(\frac{x_i + x_{i+1}}{2}\right) + f\left(\frac{|x_i + x_{i+1}|}{2}\right), \qquad x_{n+1} = x_1,$$

is maximal for $(\widehat{\mathbf{x}}) = (\widehat{x}_1, \dots, \widehat{x}_n)$, which is the circular symmetric rearrangement of (\mathbf{x}) . Therefore in this case (2.2) holds as well.

Remark 1. For a positive superquadratic function f, which according to Lemma A is also a convex function, (2.1) is a refinement of Theorem B.

If $f'''(x) \ge 0$, (2.2) is a refinement of Theorem B as well.

Remark 2. Theorem B is refined by

$$\sum_{i=1}^{n} f(x_i) - f\left(\frac{\sum_{i=1}^{n} x_i}{n}\right) \ge \frac{n-1}{n} \left(\sum_{i=1}^{n} f\left(\frac{\widehat{x}_i + \widehat{x}_{i+1}}{2}\right)\right)$$
$$\ge \frac{n-1}{n} \sum_{i=1}^{n} f\left(\frac{x_i + x_{i+1}}{2}\right),$$

because a convex function f satisfies the conditions of Theorem A for $F\left(u,v\right)=f\left(u+v\right)$.

The following inequality is a refinement of Theorem $\mathbb C$ for a positive superquadratic function f, which is therefore also convex. The inequality results easily from Lemma B and the identity

$$\sum_{i=1}^{n} f(a_i) = \sum_{i=1}^{n} \left(\frac{1}{n-1} \sum_{j=1}^{n} f(a_j) (1 - \delta_{ij}) \right)$$

(where $\delta_{ij} = 1$ for i = j and $\delta_{ij} = 0$ for $i \neq j$), therefore the proof is omitted.



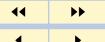
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Theorem 2.2. Let f be a superquadratic function on [0, L], and let $x_i \in [0, L]$, i = 1, ..., n. Then

$$\frac{n}{n-1} \left(\left(\sum_{i=1}^{n} f(x_i) \right) - f(\overline{x}) \right) - \sum_{i=1}^{n} f(y_i)$$

$$\geq \frac{1}{n-1} \left(\sum_{i=1}^{n} \sum_{j=1}^{n} f(|y_i - x_j|) (1 - \delta_{ij}) \right) + \frac{1}{n-1} \sum_{i=1}^{n} f(|\overline{x} - x_i|),$$

where $\overline{x} = \sum_{i=1}^{n} \frac{x_i}{n}$, $y_i = \left(\frac{n\overline{x} - x_i}{n-1}\right)$, $i = 1, \dots, n$.



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