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## SOMETHING ABOUT FRATTINI SUBALGEBRAS OF A CLASS OF SOLVABLE LIE ALGEBRAS

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*Dedicated to Professor Mirela Ștefănescu on the occasion of her 60th birthday*

### Abstract

In this paper we consider  $\mathcal{Q}$  the class of solvable Lie algebras  $\mathcal{L}$  with the following property: if  $\mathcal{A}$  is a subalgebra of  $\mathcal{L}$ , then  $\Phi(\mathcal{A}) \subseteq \Phi(\mathcal{L})$  (where  $\Phi(\mathcal{L})$  denotes the Frattini subalgebra of  $\mathcal{L}$ ; that is  $\Phi(\mathcal{L})$  is the intersection of all maximal subalgebras of  $\mathcal{L}$ ). The class  $\mathcal{Q}$  is shown to contain all solvable Lie algebras whose derived algebra is nilpotent. Necessary conditions are found such that an ideal  $\mathcal{I}$  of  $\mathcal{L} \in \mathcal{Q}$  be the Frattini subalgebra of  $\mathcal{L}$ . We considered here only solvable Lie algebras of finite dimension.

### 1. Introduction

In this section, we recall some notions and properties necessary in the paper.

We shall define by  $\Phi(\mathcal{L})$  the Frattini subalgebra of  $\mathcal{L}$ , to be the intersection of all maximal subalgebras of  $\mathcal{L}$ .

We let  $\mathcal{N}(\mathcal{L})$  be the nilradical of  $\mathcal{L}$  and  $\mathcal{S}(\mathcal{L})$  be the socle of  $\mathcal{L}$ ; that is  $\mathcal{S}(\mathcal{L})$  is the union of all minimal ideals of  $\mathcal{L}$ . If  $\mathcal{A}$  and  $\mathcal{B}$  are subalgebras of  $\mathcal{L}$ , let  $Z_{\mathcal{B}}(\mathcal{A})$  be the centralizer of  $\mathcal{A}$  in  $\mathcal{B}$ .

The center of  $\mathcal{A}$  will be denoted by  $Z(\mathcal{A})$ . If  $[\mathcal{B}, \mathcal{A}] \subseteq \mathcal{A}$ , we let

$$Ad_{\mathcal{A}}(\mathcal{B}) = \{adb \text{ restricted to } \mathcal{A}; \text{ for all } b \in \mathcal{B}\}.$$

$\mathcal{L}'$  will be the derived algebra of  $\mathcal{L}$  and  $\mathcal{L}'' = (\mathcal{L}')'$ .

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Key Words:  $p$ -Lie algebra,  $p$ -ideal,  $p$ -subalgebra, Cartan subalgebra

## 2.Lie algebras $\mathcal{L}$ with $\mathcal{L}'$ nilpotent

**Proposition 2.1.** *Let  $\mathcal{L}$  be a Lie algebra such that  $\mathcal{L}'$  is nilpotent. Then the following are equivalent.*

- (i)  $\Phi(\mathcal{L}) = 0$ .
- (ii)  $\mathcal{N}(\mathcal{L}) = \mathcal{S}(\mathcal{L})$  and  $\mathcal{N}(\mathcal{L})$  is complemented by a subalgebra.
- (iii)  $\mathcal{L}'$  is abelian, is a semi-simple  $\mathcal{L}$ -module and is complemented by a subalgebra.

*Under these conditions, Cartan subalgebras of  $\mathcal{L}$  are exactly those subalgebras complementary to  $\mathcal{L}'$ .*

**Proof.** Assume (i) holds. Nilpotency of  $\mathcal{L}'$  implies  $\Phi(\mathcal{L}) \supseteq \mathcal{L}'$ , so  $\mathcal{L}'$  is abelian and may be regarded as an  $\mathcal{L}/\mathcal{L}'$ -module. We may assume  $\mathcal{L}' = \sum \oplus \mathcal{V}_\rho$ ,  $\mathcal{V}_\rho$  indecomposable  $\mathcal{L}/\mathcal{L}'$ -submodules. If  $\mathcal{M}$  is a maximal subalgebra of  $\mathcal{L}$  and if  $\mathcal{V}_\rho \not\subseteq \mathcal{M}$ , then  $\mathcal{M} \cap \mathcal{V}_\rho$  is an ideal of  $\mathcal{L}$ . If  $\mathcal{J}$  is an  $\mathcal{L}/\mathcal{L}'$ -submodule of  $\mathcal{V}_\rho$  properly contained between  $\mathcal{M} \cap \mathcal{V}_\rho$  and  $\mathcal{V}_\rho$ , then  $\mathcal{M} + \mathcal{J}$  is a subalgebra of  $\mathcal{L}$  properly contained between  $\mathcal{M}$  and  $\mathcal{L}$ , contradicting the maximality of  $\mathcal{M}$ . Therefore  $\mathcal{M}$  contains all maximal submodules of  $\mathcal{V}_\rho$  for each  $\mathcal{S}$ . Then  $\Phi(\mathcal{L}) = 0$  implies the interections of all maximal submodules of  $\mathcal{V}_\rho$  is zero for each  $\rho$ . If  $V_1, V_2, \dots, V_s$  are maximal submodule of  $\mathcal{V}_\rho$  with  $V_1 \cap \dots \cap V_s = 0$  and are minimal with respect to this property, we have  $V = V_2 \cap \dots \cap V_s \neq 0$  and  $V \cap V_1 = 0$  so that  $V \oplus V_1 = \mathcal{V}_\rho$ , contradicting indecomposability. Therefore each  $\mathcal{V}_\rho$  is irreducible and  $\mathcal{L}'$  is a completely reducible  $\mathcal{L}/\mathcal{L}'$ -module and is also a completely reducible  $\mathcal{L}$ -module. Since  $\mathcal{L}$  is solvable it contains Cartan subalgebras by Theorem 3 of [1]. Let  $\mathcal{C}$  be a Cartan subalgebra of  $\mathcal{L}$  and let  $\mathcal{L}_0$  and  $\mathcal{L}_1$ , be the Fitting null and one component of  $\mathcal{L}$  with respect to  $\mathcal{C}$ . Then  $\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_1 \equiv \mathcal{C} + \mathcal{L}_1 \subseteq \mathcal{C} + \mathcal{L}'$  shows  $\mathcal{L} = \mathcal{C} + \mathcal{L}'$ . We claim that  $\mathcal{C} \cap \mathcal{L}' = 0$ . If  $\mathcal{C} \cap \mathcal{L}' \neq 0$ , then, since  $\mathcal{L}'$  is abelian,  $\mathcal{C}$  is nilpotent and  $\mathcal{L}'$  is a completely reducible  $\mathcal{L}$ -module,  $\mathcal{L}'$  is a sum of irreducible  $\mathcal{C}$ -modules,  $\mathcal{U}_1, \dots, \mathcal{U}_q$ , such that for each  $\mathcal{U}_i \underbrace{[\dots, [\mathcal{U}_i, \mathcal{C}], \dots \mathcal{C}]}_k = 0$  for some  $k$ , hence  $[\mathcal{U}_i, \mathcal{C}] = 0$ . Thus  $[\mathcal{C}$ ,

$\mathcal{L}' \cap \mathcal{C}] = 0$ . One sees that each  $\mathcal{U}_i$  is a central minimal ideal of  $\mathcal{L}$ , and since  $\Phi(\mathcal{L}) = 0$ ,  $\mathcal{U}_i$  is complemented by a maximal subalgebra  $\mathcal{M}$ . Therefore  $\mathcal{U}_i$  is a one-dimensional direct summand of  $\mathcal{L}$ , contradicting  $\mathcal{U}_i \subseteq \mathcal{L}'$ . Hence  $\mathcal{L}' \cap \mathcal{C} = 0$  and  $\mathcal{C}$  is a complement to  $\mathcal{L}'$  in  $\mathcal{L}$ . Since  $[\mathcal{C}, \mathcal{C}] \subseteq \mathcal{C} \cap \mathcal{L}' = 0$ ,  $\mathcal{C}$  is abelian. Any minimal ideal not in  $\mathcal{L}'$  satisfies  $[\mathcal{L}, \mathcal{A}] \subseteq \mathcal{A} \cap \mathcal{L}' = 0$ , so is central.

Therefore  $\mathcal{S}(\mathcal{L}) = \mathcal{L}' + Z(\mathcal{L})$  and, since  $\mathcal{C}$  is a Cartan subalgebra,  $Z(\mathcal{L}) \subseteq \mathcal{C}$ . Let  $\mathcal{C}_0$  be a complementary subspace to  $Z(\mathcal{L})$  in  $\mathcal{C}$ .

One sees that

$$\mathcal{N}(\mathcal{L}) = \mathcal{L}' + Z(\mathcal{L}) + (\mathcal{N}(\mathcal{L}) \cap \mathcal{C}_0) = \mathcal{S}(\mathcal{L}) + (\mathcal{N}(\mathcal{L}) \cap \mathcal{C}_0).$$

If  $c$  is a nonzero element in  $\mathcal{N}(\mathcal{L}) \cap \mathcal{C}_0$ , and  $c$  is nilpotent but not zero with implies  $[V_\rho, c] = V_\rho$  for some  $V_\rho \subseteq \mathcal{L}'$  and  $\underbrace{[\dots[V_\rho, c]\dots c]}_k = 0$  for some  $k$ , a contradicting. This  $\mathcal{S}(\mathcal{L}) = \mathcal{N}(\mathcal{L})$  and  $\mathcal{C}_0$  is a complement. Consequently (i) implies (ii).

Assume (ii) holds and proceed by induction on the dimension of  $\mathcal{L}$ . Since  $\mathcal{L}' \subseteq \mathcal{N}(\mathcal{L}) = \mathcal{S}(\mathcal{L})$  and minimal ideals are abelian,  $\mathcal{L}'$  is abelian. If every minimal ideal of  $\mathcal{L}$  is contained in  $\mathcal{L}'$ , then  $\mathcal{S}(\mathcal{L}) = \mathcal{L}'$  and (iii) follows. Therefore let  $\mathcal{J}$  be the minimal ideal of  $\mathcal{L}$  such that  $\mathcal{J} \not\subseteq \mathcal{L}'$ .

Hence  $\mathcal{J} \not\subseteq \Phi(\mathcal{L})$  and there exists a maximal subalgebra  $\mathcal{M}$  of  $\mathcal{L}$  such that  $\mathcal{L} = \mathcal{M} + \mathcal{J}$ .

Since  $[\mathcal{L}, \mathcal{J}] \subseteq \mathcal{J} \cap \mathcal{L}'$ ,  $\mathcal{J}$  is central, hence one-dimensional. It follows that  $\mathcal{L}$  is the Lie algebra direct sum of  $\mathcal{M}$  and  $\mathcal{J}$ . Since  $\mathcal{M}$  inherits the condition (ii),  $\mathcal{M}$  satisfies (iii) by induction. It now follows that  $\mathcal{L}$  also satisfies (iii). Assume (iii) holds. Then  $\mathcal{L}'$  is a sum of minimal ideals of  $\mathcal{L}$ , which we denote by  $\mathcal{J}_1, \mathcal{J}_2, \dots, \mathcal{J}_k$  and  $\mathcal{L} = \mathcal{L}' + \mathcal{D}$ ,  $\mathcal{D}$  a subalgebra of  $\mathcal{L}$ . Since  $\mathcal{D}' \subseteq \mathcal{D} \cap \mathcal{L}' = 0$ ,  $\mathcal{D}$  is abelian. One sees that  $\mathcal{L}' = [\mathcal{L}', \mathcal{D}]$  and, consequently,  $\mathcal{J}_i = [\mathcal{J}_i, \mathcal{D}]$  for all  $i$ . Since  $Z_{\mathcal{J}}(\mathcal{D})$  is central in  $\mathcal{L}$ ,  $Z_{\mathcal{J}_i}(\mathcal{D})$  is an ideal in  $\mathcal{L}$  contained in  $\mathcal{J}_i$ . Since  $Z_{\mathcal{J}_i}(\mathcal{D}) \neq \mathcal{J}_i$ ,  $Z_{\mathcal{J}_i}(\mathcal{D}) = 0$ . It follows that  $\mathcal{D}$  is its own normalizer, hence is a Cartan subalgebra of  $\mathcal{L}$ .

Now  $\mathcal{D} + \mathcal{J}_i + \dots + \widehat{\mathcal{J}_i} + \dots + \mathcal{J}_k$  is a maximal subalgebra of  $\mathcal{L}$  since any containing algebra has a nonzero projection on  $\mathcal{J}_i$  which is  $ad \mathcal{D}$  stable, hence equal to  $\mathcal{J}_i$ . Therefore  $\Phi(\mathcal{L}) \subseteq \mathcal{D}$  and  $\Phi(\mathcal{L}) \subseteq \mathcal{D} \cap \mathcal{L}' = 0$ . Hence (i) holds.

The fact that complements to  $\mathcal{L}'$  are Cartan subalgebras is shown in (iii) implies (i). The fact that Cartan subalgebras are complements to  $\mathcal{L}'$  is shown in (i) implies (ii). This completes the proof of Proposition 2.1.  $\square$

**Theorem 2.1.** *Let  $\mathcal{L}$  be a Lie algebra such that  $\mathcal{L}'$  is nilpotent and  $\Phi(\mathcal{L})=0$ . Then, for any subalgebra  $\mathcal{D}$  of  $\mathcal{L}$ ,  $\Phi(\mathcal{D}) = 0$ .*

**Proof.** Suppose  $\mathcal{L}' \subseteq \mathcal{D}$ . Let  $\xi$  be a complement to  $\mathcal{L}'$  in  $\mathcal{L}$ , so  $\xi \cap \mathcal{D}$  is a complement to  $\mathcal{L}'$  in  $\mathcal{D}$ . Since  $\mathcal{L}$  acts completely reducibly on  $\mathcal{L}'$  and  $\mathcal{L}'$  is abelian,  $\xi$  acts completely reducible on  $\mathcal{L}'$ . Then, since  $\xi$  is abelian,  $\mathcal{D} \cap \xi$  acts completely reducibly on  $\mathcal{L}'$ , hence so does  $\mathcal{D}$ .

Therefore  $\mathcal{L}' = \mathcal{D}' \oplus \mathcal{J}$  for some ideal  $\mathcal{J}$  in  $\mathcal{D}$  when  $\mathcal{D}$  acts completely reducibly on  $\mathcal{D}'$  and  $\mathcal{J} + (\xi \cap \mathcal{D})$  is a complementary subalgebra of  $\mathcal{D}'$  in  $\mathcal{D}$ . Thus, by Proposition 2.1,  $\Phi(\mathcal{D}) = 0$ .

Suppose  $\mathcal{L}' \not\subseteq \mathcal{D}$ . Since  $\mathcal{D} + \mathcal{L}'$  falls in the preceding case, we may assume  $\mathcal{D} + \mathcal{L}' = \mathcal{L}$ . Since  $\mathcal{L}'$  is abelian,  $\mathcal{L}' \cap \mathcal{D}$  is an ideal in  $\mathcal{L}$ ,  $\mathcal{D}/(\mathcal{L}' \cap \mathcal{D})$  complements  $\mathcal{L}'/(\mathcal{L}' \cap \mathcal{D}) = (\mathcal{L}'/\mathcal{L}' \cap \mathcal{D})$  in  $\mathcal{L}/(\mathcal{L}' \cap \mathcal{D})$  and  $\mathcal{D}/(\mathcal{L}' \cap \mathcal{D})$  acts completely reducibly in  $\mathcal{L}'/(\mathcal{L}' \cap \mathcal{D})$ ,  $\mathcal{D}/(\mathcal{L}' \cap \mathcal{D})$  is a Cartan subalgebra of  $\mathcal{L}/(\mathcal{L}' \cap \mathcal{D})$ .

Let  $\zeta$  be a Cartan subalgebra of  $\mathcal{D}$ , so it is a Cartan subalgebra of  $\mathcal{L}$ . This  $\zeta$  is a complement to  $\mathcal{L}'$  and  $\zeta + (\mathcal{L}' \cap \mathcal{D}) = \mathcal{D}$  since  $\subseteq \mathcal{D}$ . Hence  $\zeta$  is a complement to  $\mathcal{L}' \cap \mathcal{D}$  in  $\mathcal{D}$ . Since  $\mathcal{D}$  acts completely reducibly on  $\mathcal{L}' \cap \mathcal{D}$  and  $\mathcal{D}' \subseteq \mathcal{L}' \cap \mathcal{D}$ ,  $\mathcal{D}$  acts completely reducibly on  $\mathcal{D}'$ ,

$$\mathcal{L}' \cap \mathcal{D} = \mathcal{D}' \oplus (\mathcal{L}' \cap Z(\mathcal{D}))$$

and, since  $Z(\mathcal{D}) \subseteq \zeta$ ,

$$Z(\mathcal{D}) \cap \mathcal{L}' \subseteq \zeta \cap \mathcal{L} = 0.$$

Therefore  $\zeta = \mathcal{D}' = \mathcal{D}$  and  $\zeta \cap \mathcal{D}' = 0$ . Now  $\mathcal{D}$  satisfies part (iii) of Proposition 2.1 hence,  $\Phi(\mathcal{D}) = 0$ .  $\square$

If  $\mathcal{L}$  is a solvable Lie algebra it has been shown that  $\Phi(\mathcal{L})$  is an ideal of  $\mathcal{L}$ . We look for a condition on the subalgebras of  $\mathcal{L}/\Phi(\mathcal{L})$  which are necessary and sufficient that  $\mathcal{L} \in \mathbb{Q}$ . In order to do this, the following concept is introduced.

**Definition 2.1.** We shall say that a Lie algebra  $\mathcal{L}$  is *the reduced partial sum of an ideal  $\mathcal{J}$  and a subalgebra  $\mathcal{A}$*  if  $\mathcal{L} = \mathcal{A} + \mathcal{J}$  and for any subalgebra  $\mathcal{D}$  of  $\mathcal{L}$  such that  $\mathcal{L} = \mathcal{J} + \mathcal{D}$  and  $\mathcal{D} \subseteq \mathcal{A}$  then  $\mathcal{D} = \mathcal{A}$ .

It is noted that if  $\mathcal{J} \not\subseteq \Phi(\mathcal{L})$ , then there exists a subalgebra  $\mathcal{A} \neq \mathcal{L}$  such that  $\mathcal{L}$  is the reduced partial sum of  $\mathcal{J}$  and  $\mathcal{A}$ . On the other hand, if  $\mathcal{J} \subseteq \Phi(\mathcal{L})$  and  $\mathcal{L}$  is the reduced partial sum of  $\mathcal{J}$  and  $\mathcal{A}$ , then  $\mathcal{A} = \mathcal{L}$ .

**Lemma 2.1.** *Let  $\mathcal{L}$  be the reduced partial sum of  $\mathcal{J}$  and  $\mathcal{A}$ . Then  $\mathcal{J} \cap \mathcal{A} \subseteq \Phi(\mathcal{A})$ .*

**Proof.** Suppose  $\mathcal{B} = \mathcal{J} \cap \mathcal{A} \not\subseteq \Phi(\mathcal{A})$ . Then  $\mathcal{A}$  contains a subalgebra  $\mathcal{D}$  such that  $\mathcal{B} + \mathcal{D} = \mathcal{A}$ . Then  $\mathcal{L} = \mathcal{J} + \mathcal{A} = \mathcal{J} + \mathcal{B} + \mathcal{D} = \mathcal{J} + \mathcal{D}$ . This contradicts the minimality of  $\mathcal{A}$ , then  $\mathcal{J} \cap \mathcal{A} \subseteq \Phi(\mathcal{A})$ .  $\square$

**Lemma 2.2.** *Let  $\mathcal{L}$  be the reduced partial sum of  $\mathcal{J}$  and  $\mathcal{A}$ . Then  $\Phi(\mathcal{L}/\mathcal{J}) \cong \mathcal{J} + \Phi(\mathcal{A})/\mathcal{J}$ .*

**Proof.** Since  $\mathcal{J} \cap \mathcal{A} \subseteq \Phi(\mathcal{A})$ ,  $\mathcal{J} \cap \Phi(\mathcal{A}) = \mathcal{J} \cap \mathcal{A}$ . Since

$$\mathcal{L}/\mathcal{J} \cong \mathcal{A} + \mathcal{A}/\mathcal{J} \cong \mathcal{A}/\mathcal{J} \cap \mathcal{A},$$

$\Phi(\mathcal{L}/\mathcal{J}) \cong \Phi(\mathcal{A}/\mathcal{J} \cap \mathcal{A}) \cong \Phi(\mathcal{A})/\mathcal{J} \cap \mathcal{A} = \Phi(\mathcal{A})/\mathcal{J} \cap \Phi(\mathcal{A}) \cong \mathcal{J} + \Phi(\mathcal{A})/\mathcal{J}$ .  $\square$

**Proposition 2.2.** *For a Lie algebra  $\mathcal{L}$ , the following statements are equivalent:*

(ii)  $\mathcal{L} \in \mathbb{Q}$ .

(ii) For any subalgebra  $\mathcal{A}$  of  $\mathcal{L}/\Phi(\mathcal{L})$ ,  $\Phi(\mathcal{A}) = 0$ .

**Proof.** Let  $\mathcal{L}$  satisfy (i) and let  $\pi : 1 \rightarrow \mathcal{L}/\Phi(\mathcal{L})$  be the natural homomorphism. Then  $\Phi(\pi(\mathcal{L})) = \pi(\Phi(\mathcal{L})) = 0$ .

Let  $\overline{\mathcal{B}}$  be a subalgebra of  $\mathcal{L}/\Phi(\mathcal{L})$  and let  $\mathcal{B}$  be the subalgebra of  $\mathcal{L}$  which contains  $\Phi(\mathcal{L})$  and corresponds to  $\overline{\mathcal{B}}$ . Since  $\mathcal{L}$  satisfies (i),  $\Phi(\mathcal{B}) \subseteq \Phi(\mathcal{L})$ . If  $\Phi(\mathcal{B}) = \Phi(\mathcal{L})$ , then

$$\Phi(\pi(\mathcal{B})) = \pi(\Phi(\mathcal{B})) = \pi(\Phi(\mathcal{L})) = 0.$$

Suppose then that  $\Phi(\mathcal{B}) \subset \Phi(\mathcal{L})$ . Then  $\mathcal{B}$  can be represented as a reduced partial sum  $\mathcal{B} = \Phi(\mathcal{L}) + \mathcal{P}$ . Let  $\mathcal{R}$  be a subalgebra of  $\mathcal{B}$  such that  $\mathcal{R}/\Phi(\mathcal{L}) \cong \Phi(\mathcal{B}/\Phi(\mathcal{L}))$ . If  $\mathcal{R}/\Phi(\mathcal{L}) \neq 0$ , then

$$\mathcal{R} = \mathcal{R} \cap (\Phi(\mathcal{L}) + \mathcal{P}) = (\mathcal{R} \cap \Phi(\mathcal{L})) + (\mathcal{R} \cap \mathcal{P}) = \Phi(\mathcal{L}) + (\mathcal{R} \cap \mathcal{P}).$$

Consequently there exists an  $x \in \mathcal{R} \cap \mathcal{P}$ ,  $x \notin \Phi(\mathcal{L})$ . Since  $\Phi(\mathcal{P}) \subseteq \Phi(\mathcal{L})$ ,  $x \notin \Phi(\mathcal{P})$  and there exists a maximum subalgebra  $\mathcal{M}$  of  $\mathcal{P}$  such that  $x \notin \mathcal{M}$ . We claim that either  $\Phi(\mathcal{L}) + \mathcal{M} = \mathcal{B}$  or  $\Phi(\mathcal{L}) + \mathcal{M}$  is maximal in  $\mathcal{B}$ .

Suppose  $\Phi(\mathcal{L}) + \mathcal{M} \neq \mathcal{B}$  and let  $J$  be a subalgebra of  $\mathcal{B}$  which contains  $\Phi(\mathcal{L}) + \mathcal{M}$ . Then  $\mathcal{M} \subseteq J \cap \mathcal{P}$ , so, by the maximality of  $\mathcal{M}$ , either  $J \cap \mathcal{P} = \mathcal{M}$  or  $J \cap \mathcal{P} = \mathcal{P}$ . If  $J \cap \mathcal{P} = \mathcal{M}$  then

$$\Phi(\mathcal{L}) + \mathcal{M} = \Phi(\mathcal{L}) + (J \cap \mathcal{P}) = J \cap (\Phi(\mathcal{L}) + \mathcal{P}) = J \cap \mathcal{B} = J.$$

If  $J \cap \mathcal{P} = \mathcal{P}$ , then  $J \supseteq \mathcal{P}$  and, since  $J \supseteq \Phi(\mathcal{L})$ ,  $J \supseteq \Phi(\mathcal{L}) + \mathcal{P} = \mathcal{B}$ , hence  $J = \mathcal{B}$ . Consequently, there exists no subalgebras of  $\mathcal{B}$  properly contained between  $\Phi(\mathcal{L}) + \mathcal{M}$  and  $\mathcal{B}$ , hence either  $\Phi(\mathcal{L}) + \mathcal{M} = \mathcal{B}$  or  $\Phi(\mathcal{L}) + \mathcal{M}$  is maximal in  $\mathcal{B}$ . If  $\Phi(\mathcal{L}) + \mathcal{M} = \mathcal{B}$ , then  $\Phi(\mathcal{L}) + \mathcal{P}$  is not a reduced partial sum which is a contradiction. If  $\Phi(\mathcal{L}) + \mathcal{M}$  is maximal in  $\mathcal{B}$ , then

$$\Phi(\mathcal{L}) + \mathcal{M}/\Phi(\mathcal{L}) \supseteq \Phi(\mathcal{B}/\Phi(\mathcal{L})) \cong (\mathcal{R} \cap \Phi(\mathcal{L})).$$

Hence  $\mathcal{R} \subseteq \Phi(\mathcal{L}) + \mathcal{M}$ . Since  $\mathcal{M} \subseteq \Phi(\mathcal{L}) + \mathcal{M}$  and

$$x \in \mathcal{R} \cap \mathcal{P} \subset \mathcal{R} \subseteq \Phi(\mathcal{L}) + \mathcal{M},$$

$$\mathcal{P} = \{\mathcal{M}, x\} \subseteq \Phi(\mathcal{L}) + \mathcal{M}.$$

Then  $\mathcal{B} = \Phi(\mathcal{L}) + \mathcal{P} \subseteq \Phi(\mathcal{L}) + \mathcal{M} \subseteq \mathcal{B}$  implies  $\Phi(\mathcal{L}) + \mathcal{P}$  is not a reduced partial sum, a contradiction.

Hence  $\Phi(\overline{\mathcal{B}}) = \mathcal{R}/\Phi(\mathcal{L}) = 0$  and (ii) is satisfied.

If  $\mathcal{L}/\Phi(\mathcal{L})$  satisfies (ii), then  $\pi(\Phi(\mathcal{A})) \subseteq \Phi(\pi(\mathcal{A})) = 0$  for every subalgebra  $\mathcal{A}$  of  $\mathcal{L}$ . Then  $\Phi(\mathcal{A}) \subseteq \Phi(\mathcal{L})$  for every subalgebra  $\mathcal{A}$  of  $\mathcal{L}$ .  $\square$

(Combining Proposition 2.2 and Theorem 2.1 we have:

**Theorem 2.2.** *Let  $\mathcal{L}$  be a Lie algebra such that  $\mathcal{L}'$  is nilpotent. Then  $\mathcal{L} \in \mathbb{Q}$ .  $\square$*

**Theorem 2.3.** *Let  $\mathcal{L} \in \mathbb{Q}$  and let  $\varphi$  be a Lie homomorphism of  $\mathcal{L}$ . Then  $\varphi(\Phi(\mathcal{L})) = \Phi(\varphi(\mathcal{L}))$ .*

**Proof.**  $\varphi(\Phi(\mathcal{L}))$  is always contained in  $\Phi(\varphi(\mathcal{L}))$ . If  $k = \text{Kernel } \varphi \subseteq \Phi(\mathcal{L})$ , then equality holds. Suppose  $\mathcal{P} \not\subseteq \Phi(\mathcal{L})$ .

Let  $\mathcal{L} = \mathcal{P} + k$  be a reduced partial sum. Using Lemma 2.2,

$$\Phi(\varphi(\mathcal{L})) = \Phi(\mathcal{L}/\mathcal{P}) \cong \mathcal{P} + \Phi(k)/\mathcal{P} = \varphi(\Phi(k)).$$

Since  $\varphi(\mathcal{P} + \Phi(\mathcal{L})) = \varphi(\Phi(\mathcal{L})) \subseteq \Phi(\varphi(\mathcal{L})) = \Phi(\mathcal{L}/\mathcal{P}) = \varphi(\mathcal{P} + \Phi(k))$ ,

$$\mathcal{P} + \Phi(\mathcal{L}) \subseteq \mathcal{P} + \Phi(k) \subseteq \mathcal{P} + \Phi(\mathcal{L}).$$

Hence  $\mathcal{P} + \Phi(\mathcal{L}) = \mathcal{P} + \Phi(k)$  and  $\Phi(\varphi(\mathcal{L})) = \varphi(\Phi(k)) = \varphi(\Phi(\mathcal{L}))$ .  $\square$

**Theorem 2.4.** *Let  $\mathcal{L} \in \mathbb{Q}$ . Necessary conditions that an ideal  $\mathcal{J}$  of  $\mathcal{L}$  be the Frattini subalgebra of  $\mathcal{L}$  are the following:*

(i)  $\Phi(\text{Ad}_{\mathcal{J}}(\mathcal{L})) = \text{Ad}_{\mathcal{J}}(\Phi(\mathcal{L}))$ .

(ii) *There exists a subalgebra  $\mathcal{A}$  of  $\mathcal{L}$  such that  $\mathcal{A}/\mathcal{J} \cong \text{Ad}_{\mathcal{J}}(\mathcal{L})/\text{Ad}_{\mathcal{J}}(\Phi(\mathcal{L}))$ .*

**Proof.** (i) Let  $\varphi$  be the mapping from  $\mathcal{L}$  into the derivation algebra of  $\mathcal{J}$  defined by  $\varphi(x) = \text{ad}_x$  restricted to  $\mathcal{J}$  for all  $x \in \mathcal{L}$ . Then

$$\varphi(\Phi(\mathcal{L})) = \text{Ad}_{\mathcal{J}}(\Phi(\mathcal{L})) = \Phi(\varphi(\mathcal{L})) = \Phi(\text{Ad}_{\mathcal{J}}(\mathcal{L})).$$

(ii) Let  $\mathcal{B} = Z_{\mathcal{L}}(\Phi(\mathcal{L}))$ . Suppose that  $\mathcal{B} \not\subseteq \Phi(\mathcal{L})$  and let  $\xi = \mathcal{L}/\Phi(\mathcal{L})$  and  $\mathcal{R} = (\mathcal{B} + \Phi(\mathcal{L}))/\Phi(\mathcal{L})$ . Since  $\text{Ad}_{\Phi(\mathcal{L})}(\mathcal{L}) \cong \mathcal{L}/\mathcal{B}$  and

$$\text{Ad}_{\Phi(\mathcal{L})}(\Phi(\mathcal{L})) \cong \Phi(\mathcal{L})/Z(\Phi(\mathcal{L})) = \Phi(\mathcal{L})/\mathcal{B} \cap \Phi(\mathcal{L}) = (\mathcal{B} + \Phi(\mathcal{L}))/\mathcal{B},$$

$$\xi/\mathcal{R} \cong (\mathcal{L}/\Phi(\mathcal{L}))/(\mathcal{B} + \Phi(\mathcal{L})/\Phi(\mathcal{L})) \cong \mathcal{L}/(\mathcal{B} + \Phi(\mathcal{L})) \cong$$

$$\cong (\mathcal{L}/\mathcal{B})/((\mathcal{B} + \Phi(\mathcal{L}))/\mathcal{B}) \cong \text{Ad}_{\Phi(\mathcal{L})}(\mathcal{L})/\text{Ad}_{\Phi(\mathcal{L})}(\Phi(\mathcal{L})).$$

Since  $\Phi(\xi) = 0$ , there exists a subalgebra  $\mathcal{D}$  in  $\xi$  such that  $\xi$  is the reduced partial sum of  $\mathcal{R}$  and  $\mathcal{D}$ .

Using Proposition 2.2 and Lemma 2.1,  $\mathcal{R} \cap \mathcal{D} \subseteq \Phi(\mathcal{D}) = 0$ , hence  $\mathcal{R} \cap \mathcal{D} = 0$ .

Let  $\mathcal{H}$  be the subalgebra of  $\mathcal{L}$  which contains  $\Phi(\mathcal{L})$  and corresponds to  $\mathcal{D}$ . Then

$$\mathcal{H}/\Phi(\mathcal{L}) \cong \mathcal{D} \cong \xi/\mathcal{R} \cong \text{Ad}_{\Phi(\mathcal{L})}(\mathcal{L})/\text{Ad}_{\Phi(\mathcal{L})}(\Phi(\mathcal{L})). \quad \square$$

If  $\mathcal{B} \subseteq \Phi(\mathcal{L})$ , then

$$\begin{aligned} Ad_{\Phi(\mathcal{L})}(\mathcal{L})/Ad_{\Phi(\mathcal{L})}(\Phi(\mathcal{L})) &\cong (\mathcal{L}/\mathcal{B})/(\Phi(\mathcal{L})/Z(\Phi(\mathcal{L}))) = \\ &= (\mathcal{L}/\mathcal{B})/(\Phi(\mathcal{L})/\mathcal{B} \cap \Phi(\mathcal{L})) = (\mathcal{L}/\mathcal{B})/(\Phi(\mathcal{L})/\mathcal{B}) \cong \mathcal{L}/\Phi(\mathcal{L}). \end{aligned}$$

Related to part (i) of Theorem 2.4, there are the following results.

**Theorem 2.5.** *Let  $\mathcal{L} \in \mathbb{Q}$  and let  $\mathcal{J}$  be an ideal of  $\mathcal{L}$  containing  $\Phi(\mathcal{L})$ . Then,  $\Phi(Ad_{\mathcal{J}}(\mathcal{L})) \cong Ad_{\mathcal{J}}(\mathcal{J})$  if and only if  $\mathcal{J} = \Phi(\mathcal{L}) + Z(\mathcal{J})$ .  $\square$*

**Theorem 2.6.** *Let  $\mathcal{L} \in \mathbb{Q}$  and let  $\mathcal{J}$  be an ideal of  $\mathcal{L}$  contained in  $\Phi(\mathcal{L})$ . Then,  $\Phi(Ad_{\mathcal{J}}(\mathcal{L})) \cong Ad_{\mathcal{J}}(\mathcal{J})$  if and only if  $\Phi(\mathcal{L}) = \mathcal{J} + Z_{\Phi(\mathcal{L})}(\mathcal{J})$ .  $\square$*

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