



# LARGE EQUIVALENCE OF $d^h$ -MEASURES

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## Abstract

We extend the definition of  $d^h$ -measures introduced by Lee and Baek to the more general setting of compact metric spaces and prove that two  $d^h$ -measures are equivalent if and only if their respective measure functions are equivalent.

## 1 Introduction

Let us begin with the definition of the  $d^{\rho, h}$ -measure introduced by Lee and Baek[4, 5]. Let  $E$  be a bounded set in  $\mathbb{R}^n$  and  $h$  be a measure function, i.e.  $h : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is a non-decreasing and continuous function with  $h(0+) = 0$ . The pre  $d^h$ -measure of  $E$  is:

$$D^h(E) = \liminf_{r \rightarrow 0} N_r(E)h(r),$$

where  $N_r(E)$  is the minimum number of closed balls with diameter  $r$ , needed to cover  $E$ . Then we employ Method I by Munroe to obtain an outer measure  $d^h$  of  $E \subset X$ :

$$d^h(E) = \inf \left\{ \sum_{i=1}^{\infty} D^h(E_i) \mid E \subset \cup E_i, E_i \subset \mathbb{R}^n \right\}.$$

If  $h(t) = t^s$ , then the  $d^h$ -measure induces the modified lower box dimension [4, 5].

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Key Words: equivalence, measure function,  $d^h$ -measure.  
Mathematics Subject Classification: 28A80  
December, 2008  
September, 2009

In this paper, we extend the definition of  $d^h$ -measures to the more general setting of compact metric spaces and prove that two  $d^h$ -measures are equivalent if and only if their respective measure functions are equivalent. Let  $(X, \rho)$  be a compact metric space. We define the pre  $d^{\rho, h}$ -measure of  $E$  with respect to the metric  $\rho$ , by

$$D^{\rho, h}(E) = \liminf_{r \rightarrow 0} N_r(E)h(|B(x, r)|_\rho),$$

where  $N_r(E)$  is the minimum number of closed balls  $\{B(x, r)\}$  with radius  $r$ , needed to cover  $E$  and  $|B(x, r)|_\rho$  denotes the diameter of  $B(x, r)$  with respect to the metric  $\rho$ . Then we employ Method I by Munroe to obtain an outer measure  $d^{\rho, h}$  of  $E \subset X$ :

$$d^{\rho, h}(E) = \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}(E_i) \mid E \subset \cup E_i, E_i \subset X \right\}.$$

**Remark 1** The definition of  $d^{\rho, h}$  remains unchanged if we put  $E = \cup E_i$  in the place of  $E \subset \cup E_i$ .

**Remark 2** By the definitions, we can see that  $d^{\rho, h} \leq D^{\rho, h}$ .

Recall that two measure functions  $g$  and  $h$  are said to be equivalent if there are constants  $c \geq 1$  and  $\delta > 0$  such that

$$c^{-1}h(t) \leq g(t) \leq ch(t)$$

for any  $0 < t \leq \delta$ . Two Borel measures  $\mu$  and  $\nu$  on  $(X, \rho)$  are said to be equivalent if there is a constant  $c \geq 1$  such that

$$c^{-1}\mu(A) \leq \nu(A) \leq c\mu(A)$$

for all Borel sets  $A$ .

## 2 Main results and proofs

**Proposition 1**  $d^{\rho, h}$  is a metric outer measure.

**Proof.** It is sufficient to prove that  $d^{\rho, h}(E \cup F) = d^{\rho, h}(E) + d^{\rho, h}(F)$  whenever  $E, F \subset X$  with  $\text{dist}(E, F) > 0$ . Suppose that  $\text{dist}(E, F) > 0$  for  $E, F \subset X$ . Then  $\text{dist}(E, F) > 2\varepsilon > 0$  for some positive constant  $\varepsilon$ . Noting that  $N_\varepsilon(E \cup F) = N_\varepsilon(E) + N_\varepsilon(F)$ , we have

$$D^{\rho, h}(E \cup F) \geq D^{\rho, h}(E) + D^{\rho, h}(F). \quad (1)$$

Hence, for  $E$  and  $F$  with  $\text{dist}(E, F) > 0$ ,

$$\begin{aligned}
d^{\rho, h}(E \cup F) &= \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}(E_i) \mid E \cup F = \cup E_i, E_i \subset X \right\} \\
&= \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}((E_i \cap E) \cup (E_i \cap F)) \mid E \cup F = \cup E_i, E_i \subset X \right\} \\
&\geq \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}(E_i \cap E) + D^{\rho, h}(E_i \cap F) \mid E \cup F = \cup E_i, E_i \subset X \right\} \\
&\geq \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}(E_i \cap E) \mid E \cup F = \cup E_i, E_i \subset X \right\} \\
&\quad + \inf \left\{ \sum_{i=1}^{\infty} D^{\rho, h}(E_i \cap F) \mid E \cup F = \cup E_i, E_i \subset X \right\} \\
&\geq d^{\rho, h}(E) + d^{\rho, h}(F).
\end{aligned}$$

The second inequality is obtained by (1).

On the other hand, we have  $d^{\rho, h}(E \cup F) \leq d^{\rho, h}(E) + d^{\rho, h}(F)$  by subadditivity of  $d^{\rho, h}$ . This completes the proof.  $\square$

The measure  $d^{\rho, h}$  is close related to Hausdorff measure. More precisely, we have the following proposition which can be deduced by the definitions (see also [5]).

**Proposition 2** For a subset  $E$  of  $(X, \rho)$ ,  $\mathcal{H}^{\rho, h}(E) \leq d^{\rho, h}(E)$ , where  $\mathcal{H}^{\rho, h}(E)$  denotes the Hausdorff  $h$ -measure of  $E$ .

For details about Hausdorff  $h$ -measure, see [1, 2, 3, 8].

By the definitions,  $d^{\rho, g}$  and  $d^{\rho, h}$  are equivalent, if  $g$  and  $h$  are equivalent measure functions. Conversely, can we get from the equivalence of  $d^{\rho, g}$  and  $d^{\rho, h}$  that  $g$  and  $h$  are equivalent?

The theorem below answers this question.

**Theorem A** Let  $g, h$  be any two measure functions. If  $d^{\rho, g}$  and  $d^{\rho, h}$  are equivalent for any compact metric space  $(X, \rho)$ , then  $g$  and  $h$  are equivalent.

**Proof.** Suppose  $g$  and  $h$  are not equivalent. We are going to construct a compact metric space  $(X, \rho)$  such that  $0 < d^{\rho, h}(X) < \infty$  and  $d^{\rho, g}(X) = 0$ , which shows that  $d^{\rho, g}$  and  $d^{\rho, h}$  are not equivalent. The proof consists of four steps.

**Step 1.** Constructing  $(X, \rho)$ . Let  $\frac{1}{2} < \lambda < 1$  and  $a_n = \lambda^{2^{-n}}$  ( $n \in \mathbb{N}$ ), then  $a_1 a_2 \cdots a_n > \lambda$  for any  $n \geq 1$ . Assume that  $g$  and  $h$  are not equivalent, then by the definition, there exists a sequence  $\{\delta_n\}_{n \geq 0} \searrow 0$  such that

$$\text{either } \lim_{n \rightarrow \infty} \frac{g(\delta_n)}{h(\delta_n)} = 0 \text{ or } \lim_{n \rightarrow \infty} \frac{g(\delta_n)}{h(\delta_n)} = \infty.$$

We only discuss the case  $\lim_{n \rightarrow \infty} \frac{g(\delta_n)}{h(\delta_n)} = 0$ . The case  $\lim_{n \rightarrow \infty} \frac{g(\delta_n)}{h(\delta_n)} = \infty$  can be treated in the same way.

Since  $\lim_{n \rightarrow \infty} h(\delta_n) = 0$ , we may suppose further the sequence  $\{\delta_n\}$  is chosen to satisfy

$$h(\delta_n) \leq (1 - a_n)h(\delta_{n-1}), \quad n \in \mathbb{N}.$$

Take

$$k_n = \left[ \frac{h(\delta_{n-1})}{h(\delta_n)} \right], \quad n \in \mathbb{N},$$

where  $[x]$  denotes the integer part of  $x$ , then we have

$$k_n \geq \left[ \frac{1}{1 - a_n} \right] \geq 2, \quad k_1 \cdots k_n \leq \frac{h(\delta_0)}{h(\delta_n)} \quad (2)$$

and

$$k_1 k_2 \cdots k_n \geq \left( \frac{h(\delta_0)}{h(\delta_1)} - 1 \right) \left( \frac{h(\delta_1)}{h(\delta_2)} - 1 \right) \cdots \left( \frac{h(\delta_{n-1})}{h(\delta_n)} - 1 \right) \geq \frac{\lambda h(\delta_0)}{h(\delta_n)}. \quad (3)$$

Let  $F_0 = [0, 1]$ . We construct a compact subset  $X$  of the interval  $[0, 1]$  in the following way. Take  $k_1$  disjoint closed subintervals of the unit interval  $[0, 1]$  of positive length, and denote by  $F_1$  the union of these  $k_1$  intervals. For every element  $I$  of  $F_1$ , take  $k_2$  disjoint closed subintervals of  $I$  of positive length to obtain  $k_1 k_2$  disjoint closed intervals of  $[0, 1]$ , and denote by  $F_2$  the union of these  $k_1 k_2$  intervals. Continuing the above procedure, we obtain a sequence  $F_0 \supset F_1 \supset \cdots \supset F_n \cdots$ . Set

$$X = \bigcap_{n=1}^{\infty} F_n.$$

By the above construction,  $X$  is a nonempty compact subset of  $[0, 1]$ . Every element of  $F_n$  is called a basic interval of level- $n$ . Denote by  $d_n$  the largest length of the basic intervals of level- $n$ , we may require

$$\lim_{n \rightarrow \infty} d_n = 0.$$

Let  $x, y \in X$  with  $x \neq y$ . Denote by  $n(x, y)$  the highest level of the basic interval containing  $x$  and  $y$ , thus, there exists an interval  $I$  of level  $n(x, y)$  which

contains both  $x$  and  $y$ , but any basic interval does not contain simultaneously  $x$  and  $y$ , if its level is higher than  $n(x, y)$ . We define another metric  $\rho$  on  $X$  by letting

$$\rho(x, y) = \begin{cases} 0, & \text{if } x = y, \\ \delta_{n(x, y)}, & \text{if } x \neq y. \end{cases}$$

**Step 2.**  $(X, \rho)$  is a compact metric space.

Now  $X$  has two topologies, the relative topology as a subset of the real line and the metric topology defined by the metric  $\rho$ . Let  $(X, |\cdot|)$  be the subspace of real line and it is a compact metric space. Consider the identical mapping,  $I(x)$ , from  $(X, |\cdot|)$  to  $(X, \rho)$ . We will prove  $I(x)$  is continuous and obtain  $(X, \rho)$  is compact by the fact that the continuous image of compact metric space is compact. Let  $x \in X$  and  $\varepsilon > 0$ . We can choose  $n$  so large that  $d_n < \varepsilon$ . Then all point  $y$  of  $X$  with  $|x - y| \leq d_n$  lie in the same basic interval of level- $n$  as  $x$ , and so satisfy  $\rho(I(x), I(y)) \leq d_n < \varepsilon$ , which implies  $I(x)$  is continuous.

**Step 3.** Estimating  $d^{\rho, h}(X)$ .

Let  $n \geq 1$  and let  $I$  be a basic interval of level- $n$ . Let  $|I \cap X|_\rho$  denote the diameter of  $I \cap X$  under the metric  $\rho$ , then we have  $|I \cap X|_\rho = \delta_n$ . In fact, for any  $x, y \in I$ , since  $n(x, y)$  is the highest level of the basic interval containing  $x$  and  $y$ , we have  $n(x, y) \geq n$  and in which the equality holds for some pair  $x, y \in I$ , so  $|I \cap X|_\rho = \delta_n$  by the definition of the metric  $\rho$ .

First, we conclude that  $d^{\rho, h}(X) < \infty$ . It is sufficient to prove  $D^{\rho, h}(X) < \infty$ . Indeed,

$$D^{\rho, h}(X) \leq \lim_{n \rightarrow \infty} N_{\delta_n}(E)h(\delta_n) \leq \lim_{n \rightarrow \infty} k_1 \cdots k_n h(\delta_n) \leq \frac{h(\delta_0)}{h(\delta_n)} \cdot h(\delta_n) = h(\delta_0) < \infty.$$

So

$$d^{\rho, h}(X) \leq h(\delta_0) < \infty. \quad (4)$$

Let  $\mu$  be the natural measure on  $X$ , that is,  $\mu$  is the unique probability measure satisfying

$$\mu(I_n) = \frac{1}{k_1 \cdots k_n}$$

for all basic intervals  $I_n$  of level- $n$  and for all  $n$ . Let  $U$  be a subset of  $X$  with  $0 < |U| < \delta_0$  and  $n$  the positive integer with  $\delta_n \leq |U| < \delta_{n-1}$ . By the definition of the metric  $\rho$ , we have  $|U| = \delta_n$ , so there is a basic interval of level- $n$   $I_n$  such that  $U \subset I_n$ . Thus we have from(3)

$$\mu(U) \leq \mu(I_n) = \frac{1}{k_1 \cdots k_n} \leq \frac{h(|U|)}{\lambda h(\delta_0)},$$

which yields from mass distribution principle

$$\lambda h(\delta_0) \leq \mathcal{H}^{\rho,h}(X).$$

Then by proposition 2 and (4), we have

$$0 < \lambda h(\delta_0) \leq d^{\rho,h}(X) \leq h(\delta_0) < \infty.$$

**Step 4.** Estimating  $d^{\rho,g}(X)$ .  $D^{\rho,g}(X) \leq \lim_{n \rightarrow \infty} N_{\delta_n}(E)g(\delta_n) \leq \lim_{n \rightarrow \infty} k_1 \cdots k_n g(\delta_n) \leq \lim_{n \rightarrow \infty} \frac{h(\delta_0)}{h(\delta_n)} \cdot g(\delta_n) = \lim_{n \rightarrow \infty} \frac{g(\delta_n)}{h(\delta_n)} \cdot h(\delta_0) = 0$ . So  $d^{\rho,g}(X) = 0$ .

Acknowledgements. The author would like to thank the anonymous referees for their valuable comments and suggestions that lead to the improvement of the manuscript. This project is supported by the Education Committee of Fujian Province(JA08155).

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